

10/768,578

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

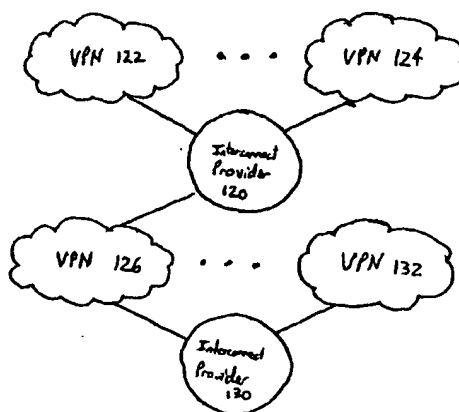
(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
8 March 2001 (08.03.2001)

PCT

(10) International Publication Number  
WO 01/16766 A1

- (51) International Patent Classification<sup>7</sup>: G06F 13/00 (81) Designated States (*national*): AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW.
- (21) International Application Number: PCT/US00/23774
- (22) International Filing Date: 31 August 2000 (31.08.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/151,563 31 August 1999 (31.08.1999) US
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant: SCIENCE APPLICATIONS INTERNATIONAL CORPORATION [US/US]; 10260 Campus Point Drive, San Diego, CA 92121 (US). Published:  
— With international search report.
- (72) Inventors: WHITTLE, Bryan; 73 Zion-Wertsville Road, Skillman, NJ 08558 (US). TESINK, Kaj; 140 Park Road, Fair Haven, NJ 07704 (US). For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.
- (74) Agents: ROBINSON, Douglas, W. et al.; Banner & Witcoff, Ltd., Eleventh Floor, 1001 G Street, N.W., Washington, D.C 20001-4597 (US).

(54) Title: SYSTEM AND METHOD FOR INTERCONNECTING MULTIPLE VIRTUAL PRIVATE NETWORKS



(57) Abstract: A system and method for interconnecting multiple VPNs (122, 124, 126, 132), each using multiple service providers (120, 130), while offering a minimum standard of end-to-end connection quality and reliability. The system and method utilizes an overseer that resolves end-to-end issues across multiple interconnected virtual private networks (122, 124, 126, 132). When connecting multiple virtual private networks (122, 124, 126, 132) multiple interconnect providers (120, 130) are interconnected so that the end-to-end service quality standard. The certification of service providers, exchange points, transit service providers and IPsec devices permits interoperability for encryption, integrity and authentication across the product of all IPsec vendors. When two subscribers both use certified IPsec equipment then they can provide each other with controlled access to each other's networks.

WO 01/16766 A1

## **SYSTEM AND METHOD FOR INTERCONNECTING MULTIPLE VIRTUAL PRIVATE NETWORKS**

5        This application claims priority to the following provisional patent applications,  
which are incorporated herein by reference in their entireties:

(1) Provisional Application Serial No. 60/151,563, titled "Method & Apparatus  
For a Globalized Automotive Network & Exchange," filed on August 31, 1999, and  
having reference no. 99,532 (479.83581).

### **BACKGROUND OF THE INVENTION**

#### **Field of the Invention**

10        The present invention relates to virtual private networks. More particularly, the  
present invention relates to virtual private networks wherein in each virtual private  
network, multiple service providers can be utilized by the trading partners of the virtual  
15    private network. The end-to-end service quality of the connection within the virtual  
private network is guaranteed to meet minimum requirements. The end-to-end service  
quality encompasses numerous factors including: network services; interoperability;  
performance; reliability; disaster recovery and business continuity; security; customer  
care; and trouble handling. The system and method of the present invention is directed to  
20    the interconnection of multiple virtual private networks each having multiple service  
providers. Furthermore the present invention encompasses a system and method for  
interconnecting multiple interconnect providers, such as exchange points, exchange  
networks, direct connect or transit service providers, between the multiple virtual private  
networks. Finally, the present invention employs an end-to-end overseer across the  
25    multiple virtual private networks.

#### **Description of the Related Art**

Early in 1994, the automotive industry recognized the need for global network  
services that would support more new demanding automotive business applications. The  
purpose of this network service was to simplify complex, redundant, outdated connection  
30    methods while minimizing costs and ensuring the management, security, reliability, and

performance essential to the automotive industry. Transport Control Protocol/Internet Protocol (TCP/IP) was endorsed as the standard suite for electronic data communications.

Ultimately in 1995, the industry formed a Telecommunications Project Team to oversee the design and development of a common global communication infrastructure supporting automotive industry application initiatives (later called the Automotive Network eXchange (ANX) Implementation Task Force). The Task Force, in June 1997, published the initial results of the technical design process for this new network service, called the Automotive Network eXchange (ANX), in "ANX Release 1 Draft Document Publication" (TEL-2 01.00). This reference is incorporated herein by reference in its entirety. The TEL-2 specification undergoes constant updating and correction.

The ANX system is a business-to-business communications infrastructure that provides a uniform, secured link between trading partners, such as manufacturers and suppliers, in the automotive industry. The ANX is a subscription-based network composed of Certified Service Providers (CSP). CSPs are providers of IP network service that have satisfied certain service end-to-end quality. CASPs are certificate authority service providers. The Certified Exchange Point Operator (CEPO) provides services to interconnect CSPs. CEPOs also must satisfy certain end-to-end service quality requirements.

Trading Partners (TP) are registered end users, or subscribers, of the ANX system such as automotive parts manufacturers, suppliers, original equipment manufacturers, and car manufacturers. The ANX system allows TPs to communicate, exchange information, and transact business with other TPs over the ANX network. The TP may utilize any TCP/IP-compliant application program to exchange information with other TPs. The registered TP selects the TPs with which it wants to communicate and thereafter may gain access to and receive communications from those selected TPs. As a result, the ANX system allows each TP to develop its own virtual private network with its customers and vendors.

The ANX system significantly reduces the complexity of connecting to multiple trading partners. Since there are diverse communication protocols for the trading partners, separate links are required to access each trading partner.

By having a single private network operated under a uniform protocol, interconnectivity between various trading partners is substantially simplified. In addition, ANX offers improved end-to-end service quality. For example, if an auto manufacturer needs to place with its parts supplier an order for car seats, the manufacturer may submit  
5 over the ANX system its confidential CAD drawings directly to the supplier. The manufacturer may also fill out the order form that the supplier may have for filling orders and timely submit over the ANX system due to its high reliability and performance.

The CSP and the CEPO must satisfy certain performance and security requirements in order to be certified under the ANX. The certification process is  
10 disclosed in ANX Release 1 Document Publication (TEL-2 02.00), which is incorporated herein by reference in its entirety.

The ANX VPN permits the use of a plurality of different IPsec devices. By virtue of the TEL-2 specification and the certification process all of the designated IPsec device are guaranteed to communicate with one another across the ANX VPN.

15 While the ANX was originated out of the need to interconnect automotive related companies, it is not limited to that industry. Any company/industry may become a TP, e.g. an aerospace company, a healthcare company, etc. ANX has become known as the Advanced Network eXchange.

With the advent of the Internet, global communication has become a reality.  
20 While the Internet works well for non-mission critical applications, such as transmitting and receiving e-mail and hosting websites, it has some drawbacks for business-to-business commerce and communication that require stringent end-to-end service quality. Quality concerns are in the area of end-to-end service quality as explained previously.

For example, when two companies want to communicate over the Internet, the lag  
25 between the systems at each company will be different virtually every time. The connection each has through their service provider, i.e. 14.4K, 28.8K, 56K, ISDN, DSL, T1, etc., plus the number of servers through which the connection is directed contribute to the resulting time lag between the two companies. Depending upon the type of information transmitted, the two parties may require a maximum acceptable time lag.

30 Due to the nature of the Internet, it cannot guarantee such a maximum time lag.

Furthermore, the two companies may desire that service assistance be available at certain times or 24 hours a day. The Internet has no such guarantees for help availability in a multi-provider environment. Such a lack of guaranteed bandwidth, latency and reliability are major impediments to business-to-business commerce and communication over the Internet.

In recent years the number of electronic viruses and hacker attacks has increased dramatically. A company considering conducting business-to-business commerce over the Internet runs the risk of making their intranet vulnerable to such viruses and attacks with the potential related loss of data.

In order to address the security issue, some companies have developed virtual private networks (VPNs). Secure VPNs permit a company to communicate with any other entity on the network without the risk of increased vulnerability to viruses and hackers. However, while VPNs can connect to other VPNs over the Internet by providing authentication, access control, confidentiality and data integrity, there is still no way the end-to-end quality of the connection can be guaranteed to meet a required set of minimum standards in a multi-provider setting.

A secure VPN is a communication network that is secured with encryption and authentication. Secure VPNs are based on multiple technologies, for example IPsec, tunneling, certification and shared secret authentication. IPsec is the security standard established by the Internet Engineering task Force (IETF). Tunneling permits private networks to cross the Internet using unregistered IP addresses.

### SUMMARY OF THE INVENTION

From the foregoing, it is desirable to provide a system and method for interconnecting multiple VPNs each using multiple service providers while offering a minimum standard of end-to-end service quality.

The system and method of the present invention utilizes an overseer that defines the service quality, continually qualifies service providers as meeting that service quality, and resolves end-to-end issues across multiple interconnected virtual private networks, such as the ANX. When connecting multiple virtual private networks according to the system and method of the present invention multiple interconnect providers are

interconnected, and the manner in which these interconnect providers are interconnected so that the quality and reliability standards is met are another aspect of the present invention.

Certification of IPSec devices permits interoperability for encryption, integrity  
5 and authentication across the product of all IPSec vendors. When two subscriber companies both use certified IPSec equipment then they can provide each other with controlled access to each other's networks.

Based on the foregoing, an object of the present invention is to provide a system  
and method of interconnecting multiple VPNs each using multiple service providers  
10 while offering a minimum standard of end-to-end connection quality and reliability.

Another object of the present invention is to provide a system and method of interconnecting multiple VPNs having an overseer that resolves end-to-end issues across multiple virtual private networks.

Still another object of the present invention is to provide a system and method of  
15 connecting multiple virtual private networks in which multiple interconnect providers are interconnected so that the end-to-end service quality is met.

### **DETAILED DESCRIPTION OF THE DRAWINGS**

The foregoing and other attributes of the present invention will be described with respect to the following drawings in which:

20

Fig. 1 is a block diagram of two interconnected virtual private networks according to the present invention;

Fig. 2 is a configuration of governance and management of separate virtual  
25 private networks;

Fig. 3 is a configuration of governance and management of interconnected virtual private networks according to the present invention;

**Fig. 4** is an interconnection configuration for governance of multiple interconnected virtual private networks according to the present invention;

**Fig. 5** is a flow chart showing contractual obligations according to the present invention;

**Fig. 6** is a diagram illustrating end-to-end latency in a virtual private network having multiple service providers;

**Fig. 7** is a diagram illustrating end-to-end availability in a virtual private network having multiple service providers;

**Fig. 8** is a diagram illustrating trouble handling in a virtual private network having multiple service providers;

**Fig. 9** is a diagram illustrating an accountability model for a single virtual private network having multiple service providers;

**Fig. 10** is a diagram illustrating an accountability model for multiple virtual private networks having multiple service providers according to the present invention;

**Fig. 11** is a diagram illustrating end-to-end interconnection of two virtual private networks according to the present invention;

**Fig. 12** is a diagram illustrating a trouble escalation model for interconnection of two virtual private networks according to the present invention;

**Fig. 13** is a diagram illustrating a multiple virtual private network fee model for interconnection of two virtual private networks according to the present invention; is a

diagram illustrating interconnection of two virtual private networks using a multiple transit certified service providers according to the present invention;

Fig. 14 is a diagram illustrating interconnection of two virtual private networks  
5 using a single transit certified service provider according to the present invention;

Fig. 15 is a diagram illustrating interconnection of two virtual private networks  
using a multiple transit certified service providers according to the present invention;

10 Figs. 16 is a diagram illustrating interconnection of multiple virtual private  
networks using a multiple transit certified service providers, where no single transit  
certified service provider connects all of the virtual private networks according to the  
present invention; and

15 Figs. 17a - c are alternative configurations for interconnecting multiple virtual  
private networks according to the present invention.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fig. 1 shows a block diagram of two interconnected virtual private networks 20 and 22. The present system and method of the interconnecting multiple virtual private networks is not intended to be limited to only these types of networks and has applicability to a wide variety of virtual private networks.

Each virtual private network 20 and 22 is shown having a trading partner (TP) 24 and 26, respectively. While Fig. 1 shows only one TP 24 and 26 for each virtual private network, there can in fact be hundred or thousands of such TPs for each virtual private network. Fig. 1 is intended to define the end-to-end service quality concept, and for such a purpose, only one TP 24 and 26 is need for each virtual private network 20 and 22.

The end-to-end service quality, provided by the present system and method of interconnecting multiple virtual private networks, cannot be achieved by simply interconnecting two virtual private networks, such as 20 and 22, with a wire. The end-to-end service quality incorporates a user-centric philosophy, where the user is the TP or subscriber. The user is guaranteed a minimum level of service encompassing factors that include: network services; interoperability; performance; reliability; disaster recovery and business continuity; security; customer care; and trouble handling. Simply connecting the two virtual private networks 20 and 22 with a wire will not achieve the minimum satisfactory levels for these factors.

To achieve such minimum levels of satisfactory performance for these factors the system and method must include a way to resolve disputes between the two virtual private networks. Referring to Fig. 2, each VPN 20 and 22 is shown as having its own governance, program management, coopetition policy, contracts, service assurance, and service description. While each virtual private network can operate with a successful level of end-to-end service quality when each VPN is not interconnected to another VPN, the governance, program management, coopetition policy, contracts, service assurance, and service description may need to be revised when interconnecting two or more VPNs in order to maintain the end-to-end service quality. It will be appreciated that at the very

least the interconnection of at least two VPNs adds at least one additional level of complexity with regard to service between the VPNs.

One resolution is shown in Fig. 3, in which each VPN 20 and 22 maintain their own governance, but the program management, competition policy, contracts, service assurance, and service description for the two VPNs 20 and 22 are unified. Such unification means that where the parameters for the program management, competition policy, contracts, service assurance, and service description of the two VPNs 20 and 22 are different, the parameter used in one of the networks is chosen as the acceptable minimum standard or a compromise parameter different from the parameter used in each or the VPNs is agreed upon. It is possible that the parameters for communication within each VPN need not change, while the new parameters are used only when communicating between VPNs. Fig. 3 further shows that the system and method contemplate connecting more than two VPNs.

One configuration for governance of multiple interconnected VPNs is shown in Fig. 4. In this scenario each VPN has its own program overseer (POVER) 30, and a global, or multiple virtual private network, overseer 32 is provided to resolve issues between the POVERs 30. Arrows are shown between the POVERs 30 indicating that the POVERs 30 are free to resolve their issues without requiring the GOVER 32. The GOVER is called on when direct POVER-to-POVER resolution fails. Each of the POVERs 30 governs one of the regional VPNs, while the GOVER 32 oversees the interconnection of the VPNs.

The GOVER is responsible for end-to-end quality assurance, and in particular acts as an inter-VPN interconnection certifier. The GOVER certifies interconnection facilities, and certifies a global CASP-CASP trust model. The GOVER also is an inter-VPN arbitrator that steps in when POVERs cannot resolve trouble between them.

Since the VPNs are used to running their networks in isolation, the interconnection of multiple VPNs has unique issues such as resolving trouble and conflicts between the VPNs and maintenance of minimum end-to-end service quality across the multiple programs. Since the system and method of the present invention are directed to providing specific end-to-end service quality, it must be possible for TPs to

quantify the end-to-end service quality levels, and these service quality levels must be sufficient to allow applications to work across the multiple VPNs. Therefore, a high level of metric compatibility and measurement techniques are required.

In the ANX type VPN each TP, CSP and CEP must meet specified criteria to become certified and to maintain that certification. The certification provides the TPs or subscribers with confidence that the level of transport and security will meet their business needs. The ANX type VPN utilizes multiple CSPs. On one level it is easier to run a VPN where all TPs are required to use a single CSP. The use of multiple CSPs in the ANX type VPN fosters competition between the CSPs and allows the VPN to reach TPs that may not be serviced by a single CSP. The implementation of multiple CSPs, however, brings with it the drawback of insuring that the CSPs can talk to one another. Whether the connection from one TP to another TP within the same VPN is through a single CSP or two CSPs should be invisible to the TPs. The TPs need never know when one or more CSPs are used for any particular connection. The certification process ensures that the TPs use one of the certified IPsec devices at their premises, and that the CSPs will utilize certified equipment and meet certain metrics so as to achieve the end-to-end service quality guaranteed to the TPs. In this manner, the multiple CSPs will be able to communicate with one another. The CSPs must meet business criteria, technical metrics, ongoing monitoring, trouble-handling criteria, routing registry criteria, and domain name registry criteria to achieve and maintain certification.

Fig. 5 shows the contractual obligations of the members of an ANX-type VPN. The TPs contract with the VPN, as denoted in Fig. 5 by the arrows to the overseer, and contract with one of the multiple CSPs. The CSPs contract with the VPN and with the CEPO. The CEPO contracts with the VPN. Each entity is responsible for the services that that entity provides.

The technical metrics for achieving end-to-end service quality in the ANX-type network include among other metrics, latency and availability. Fig. 6 illustrates the end-to-end latency within the ANX network. The TP1 router is connected to ANX CSP<sub>1</sub>, which in turn is connected to ANX CEPO. TP2 router is connected to ANX CSP<sub>2</sub>, which is connected to ANX CEPO. The packet latency from each router to

and 66 through the corresponding CSP is 125 msec. The latency through the ANX CEPO is on the order of microseconds. The total packet latency through the network is therefore only slightly more than 250 msec.

Fig. 7 illustrates the end-to-end availability metric. The Access network between the TP1 router 60 and the ANX CSP<sub>1</sub> 62 is permitted to be unavailable 43.80 hours/year. The ANX CSP<sub>1</sub> 62 may only be unavailable 2.63 hrs./year. The trunk 65 between the ANX CSP<sub>1</sub> 62 and the ANX CEPO may only be unavailable 1.76 hrs./year. The ANX CEPO may only be unavailable 0.44 hours/year. The foregoing availabilities yield a total of 99.895% availability or 9.22 hours per year downtime.

The outline for how trouble is handled within the ANX-type VPN is shown in Fig. 8. There are effectively five layers of trouble handling. At the first level trouble between TPs is handled directly between the two TPs. Similarly, issues between the TPs and the CSPs are handled between the two parties. CSPs and the CEPOs also resolve their troubles between the troubled parties. A network overseer is provided to handle troubles that cannot be handled in the foregoing scenarios. The overseer can take complaints from the TPS, the CSPs, and the CEPOs.

A key to providing predictable end-to-end service quality is that the TPs must know the level of service they receive. To this end four service provider accountability levels exist. First, service providers, both interconnect providers and CSPs, must timely fix infrequent service provider troubles. Second, there must be end-to-end service provider cooperation to handle any troubles. Third, recourse must be provided to resolve disputes in the event of disagreement between CSPs and/or interconnect providers. Fourth, recourse must be provided to resolve continued non-compliance with the end-to-end service quality.

Referring to Figs. 9 and 10, charts for single VPN and interconnected VPNs are shown, respectively. In Fig. 9, the CSPs 70, CEPOs 72 and CASPs 74 are accountable to the POVER 76. The POVER 76 is accountable to the body 78 representing the TPs. The body 78 is accountable a regional/national arbitration body 80. Where multiple VPNs are interconnected in Fig. 10, the CSPs 70, the CEPOs 72, and CASPs 74 are accountable to the POVERs 76. The POVERs 76 are accountable to a GOVER 77, which in turn is

accountable to the body 78. The body 78, instead of being accountable to the regional/national arbitration body 80, is accountable to an international arbitration body 82.

The GOVER/POVER model is but one way to oversee ensuring of the end-to-end service quality and metric compatibility. How the ANX-type networks are connected will be discussed below. In this context there must be five key types of end-to-end technology compatibility: 1 network interconnection that ensures a trading partner on one VPN can reach any trading partner on the other VPN; 2 routing compatibility that ensures any trading partner on one VPN can logically reach any TP on the other VPN; 3 naming compatibility, e.g. so the web names or e-mail names of any trading partner on one VPN can be resolved to an address that is routable over the two VPNs; 4 IPsec compatibility; and 5 digital security certificate compatibility across multiple VPNs. While Figs. 9 and 10 refer to regional/national VPNs and international arbitration, the VPNs need not be limited to a specific country or geographical area. Any ANX-type VPN, regardless of the location of its subscribers could be interconnected.

While Fig. 1 illustrated the interconnection of two VPNs 20 and 22, a significant element is missing. Fig. 11 shows two VPNs, that have multiple service providers, which are connected through an inter-program service provider, also called an interconnect provider. The Tel-2 specification is still used as the basic guide in determining the end-to-end service quality, however regional or particular VPN peculiarities, referred to as deltas, must be considered when establishing the interconnected end-to-end service quality standards.

Returning to the GOVER/POVER model for overseeing interconnected VPNs; Fig. 12 illustrates an end-to-end trouble escalation model. It is expected that CSPs will work together to resolve trouble before contacting a POVER. Similarly, the POVERs and/or the POVERs and the interconnect provider are expected to work together to resolve trouble before contacting the GOVER.

When expanding from a single VPN to interconnected VPNs the inherent costs of running the system naturally increase. How such costs are distributed is an important part of the system. As shown in Fig. 13, the POVERs 100 pay fees to the GOVER to

offset the costs of maintaining the GOVER. The VPNs having multiple service providers in turn pay fees to support the POVER. Furthermore the interconnect providers pay a certification fee to the GOVER for certification as a interconnect provider between VPNs.

5           There are multiple methods of interconnecting multiple VPNs with interconnect providers. As shown in Fig. 14, all the VPNs, having multiple service providers, can be interconnected using a single interconnect provider. Alternatively, all the VPNs can be interconnected by multiple interconnect providers, as shown in Fig. 15, thereby creating competition between the interconnect providers, just as there is competition between the  
10       CSPs in a single xNX-type VPN. Finally, as shown in Fig. 16, where no suitable interconnect provider is available to connect all the VPNs having multiple service providers, multiple interconnect providers are used. These interconnect providers service different combinations of VPNs. In Fig. 16, interconnect provider 120 interconnects VPNs having multiple service providers 122, 124, and 126. Interconnect provider 130  
15       interconnects VPNs having multiple service providers 132 and 126. As a result, a TP of VPN 132 must connect through both Interconnect provider 130 and Interconnect provider 120 to reach TPs of either VPN 122 or 124.

How the multiple VPNs interconnect will directly affect the resulting end-to-end service quality. Figs. 17a-c illustrate potential configurations of multiple VPNs. In Fig.  
20       17a a first TP 200 connects to a first CSP 210. The CSP210 connects to a first exchange point 220. The TP 200, CSP 210, and the exchange point 220 are within a first VPN 240. A second TP 250 connects to a second CSP 260, which connects to a second exchange point 270. The TP 250, CSP 260 and exchange point 270 are within a second VPN 280. The two VPNs 240 and 280 are interconnected by an Interconnect provider 300, which is  
25       connected to the exchange points 220 and 270.

In Fig. 17b TP 200, CSP 210, exchange point 220 and Interconnect provider 300 are connected in the same manner shown in Fig. 17a. While the second TP 250 is connected to the CSP 260, the exchange point 270 is not provided. Instead CSP 260 is shown as connecting directly to the Interconnect provider 300. This embodiment

encompasses the situation where the Interconnect provider 300 and CSP 260 are the same entity or are directly wired. Fig. 17c is similar to Fig. 16b, Except that the interconnect provider also acts as a CSP 320, and a third TP 310 is connected directly to the Interconnect provider 300/CSP 320.

5       As stated previously, while the end-to-end service quality is based upon the TEL-2 specification, the degree to which the TEL-2 specification needs to be modified to interconnect multiple VPNs depends upon the chosen complexity of the interconnection. An xNX-type VPN uses a maximum of two CSPs between any two TPS. A larger value, either three or four, is needed for multiple VPNs. The Interconnect provider will account  
10   for one additional CSP, and for configuration set forth in Fig. 16, two Interconnect providers are employed in addition to the two CSPs yielding four CSPs.

      Having described several embodiments of the system and method for interconnecting multiple virtual private networks in accordance with the present invention, it is believed that other modifications, variations and changes will be suggested  
15   to those skilled in the art in view of the description set forth above. It is therefore to be understood that all such variations, modifications and changes are believed to fall within the scope of the present invention as defined in the appended claims.

What is claimed is:

1. A system of interconnecting multiple virtual private networks, each of said multiple private networks having multiple service providers, comprising:

5       at least one interconnect provider for connecting said multiple virtual private networks,

      said multiple virtual private networks connected through said at least one interconnect provider having minimum standards for cross network services, virtual private network interoperability, inter-network performance, inter-network reliability,  
10       disaster recovery and business continuity, inter-network security, inter-network customer care, and inter-network trouble handling.

2. A system as recited in claim 1, further comprising a maximum acceptable latency between subscribers to different ones of said multiple virtual private networks.

15       3. A system as recited in claim 1, further comprising a maximum acceptable number of service providers between subscribers to different ones of said multiple virtual private networks.

20       4. A system as recited in claim 1, further comprising a minimum acceptable period of unavailability of interconnected multiple virtual private networks.

      5. A system as recited in claim 1, wherein each of said multiple virtual private networks comprises a program overseer to ensure end-to-end service quality across each  
25       of said multiple virtual private networks.

6. A system as recited in claim 5, further comprising a global overseer to ensure end-to-end service quality across multiple ones of said multiple virtual private networks.

7. A system as recited in claim 6, wherein said global overseer resolves disputes between ones of said program overseers for said multiple virtual private networks or said program overseers and said at least one interconnect provider.

5        8. A system as recited in claim 5, wherein said program overseer for each one of said multiple virtual private networks resolves disputes between service providers within said one of said multiple virtual private networks.

10       9. A system as recited in claim 6, wherein each of said program overseers and said multiple interconnect providers provides financial support to run said global overseer.

15       10. A system as recited in claim 1, wherein management of said multiple virtual private networks, contracts by between service providers and interconnect providers, service assurance, service description and how service providers and interconnect providers collaborate and compete are unified across said multiple virtual private networks to ensure end-to-end service quality.

20       11. A system as recited in claim 1, comprising multiple interconnect providers, wherein no one interconnect provider services all of said multiple virtual private networks.

25       12. A method of interconnecting multiple interconnection providers between multiple virtual private networks, each of said virtual private networks having multiple subscribers, multiple service providers and at least one exchange point interconnecting said multiple service providers, with guaranteed end-to-end service quality, comprising the steps of:

30       providing at least one interconnect provider disposed between a first set of said multiple service providers in one of said multiple virtual private networks and a second set of multiple service providers in a second one of said multiple virtual private networks.

13. A method of interconnecting multiple interconnection providers between multiple virtual private networks as recited in claim 12, wherein one of said at least one transit service providers is also one of said multiple service providers within at least one  
5 of said multiple virtual private networks.

14. A method of interconnecting multiple interconnection providers between multiple virtual private networks as recited in claim 12, further comprising the step of certifying all of said multiple service providers in all of said multiple virtual private  
10 networks, said multiple transit service providers, and said exchange points to ensure minimum end-to-end quality and security levels are maintained.

15. A method of interconnecting multiple interconnection providers between multiple virtual private networks as recited in claim 12, comprising the further step of  
15 providing at least one exchange point between a first set of said multiple service providers in one of said multiple virtual private networks and said at least one interconnect service provider.

16. A method of interconnecting multiple interconnection providers between  
20 multiple virtual private networks as recited in claim 12, wherein a maximum number of service providers between two subscribers within one of said multiple virtual private networks is two, and the maximum number of said service providers and transit service providers between subscribers of different ones of said multiple virtual private networks is three.

25

17. A method of interconnecting multiple interconnection providers between multiple virtual private networks as recited in claim 15, further comprising the step of providing at least one second exchange point between a second set of said multiple service providers in another one of said multiple virtual private networks and said at least  
30 one transit service provider.

18. A system of interconnecting multiple virtual private networks, each of said multiple private networks having multiple service providers, comprising:

5 at least one interconnect provider for connecting said multiple virtual private networks,

each of said multiple virtual private networks comprising a program overseer to ensure end-to-end service quality across each of said multiple virtual private networks, and

10 a global overseer to ensure end-to-end service quality across multiple ones of said multiple virtual private networks,

said multiple virtual private networks connected through said at least one interconnect provider have: minimum standards for cross network services; virtual private network interoperability; inter-network performance; inter-network reliability; disaster recovery and business continuity; inter-network security; inter-network customer care; and inter-network trouble handling.

19. A system as recited in claim 18, further comprising a maximum acceptable latency between subscribers to different ones of said multiple virtual private networks.

20 20. A system as recited in claim 18, further comprising a maximum acceptable number of service providers between subscribers to different ones of said multiple virtual private networks.

21. A system as recited in claim 18, further comprising a minimum acceptable period of unavailability of interconnected multiple virtual private networks.

22. A system as recited in claim 18, wherein said global overseer resolves disputes between ones of said program overseers for said multiple virtual private networks or said program overseers and said at least one interconnect provider.

30

23. A system as recited in claim 18, wherein said program overseer for each one of said multiple virtual private networks resolves disputes between service providers within said one of said multiple virtual private networks.

5           24. A system as recited in claim 18, wherein each of said program overseers and said multiple interconnect providers provides financial support to run said global overseer.

10           25. A system as recited in claim 18, wherein management of said multiple virtual private networks, contracts by between service providers and interconnect providers, service assurance, service description and how service providers and interconnect providers collaborate and compete are unified across said multiple virtual private networks to ensure end-to-end service quality.

15           26. A system as recited in claim 18, comprising multiple interconnect providers, wherein no one interconnect provider services all of said multiple virtual private networks.

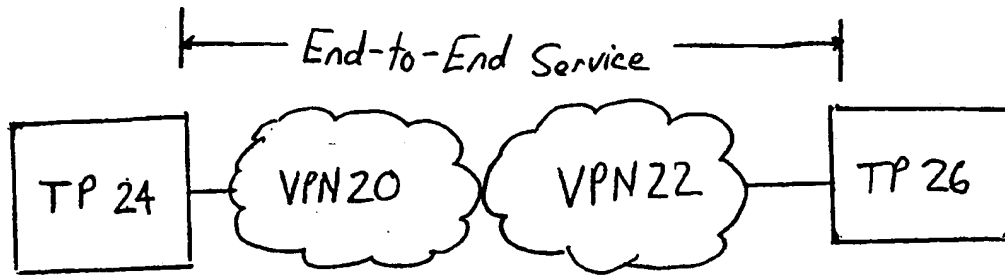


Fig. 1

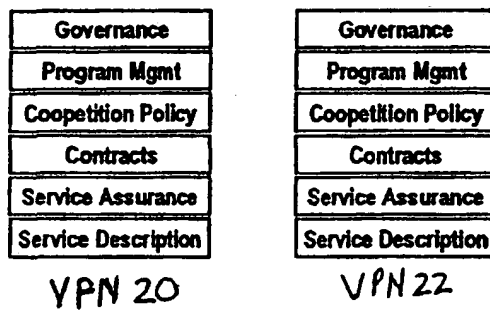


Fig. 2

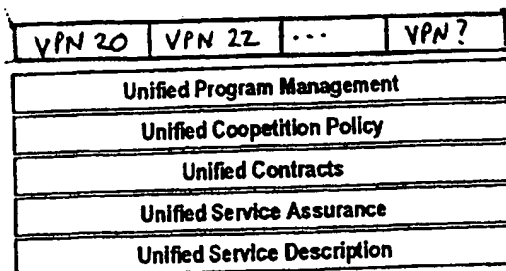


Fig. 3  
1/10

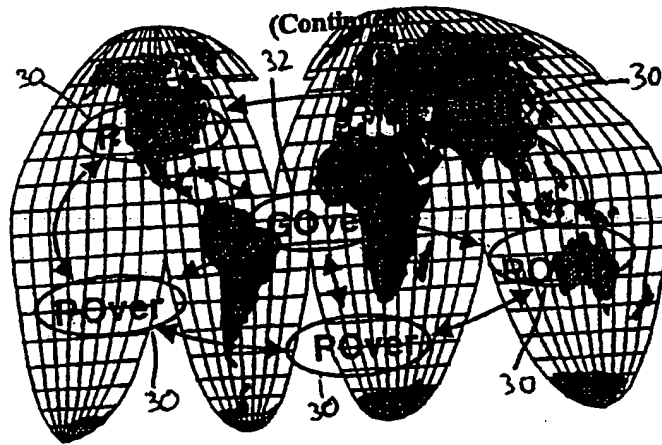


Fig. 4

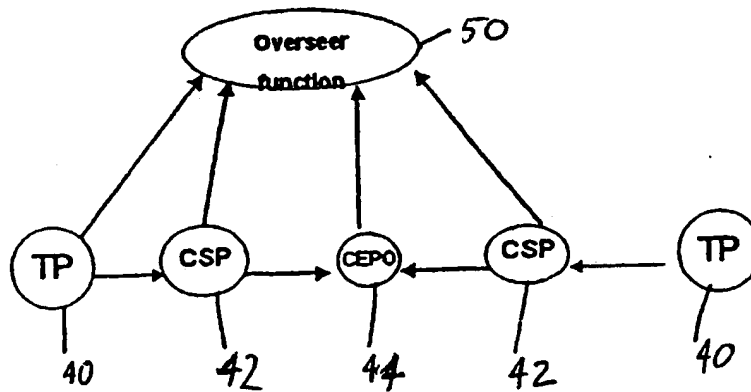


Fig. 5

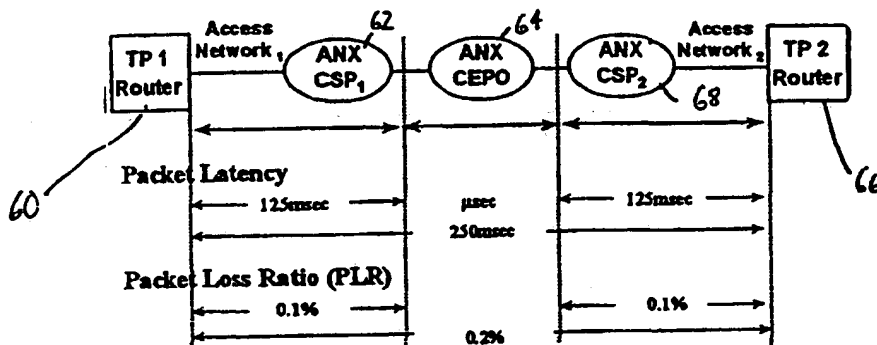


Fig. 6

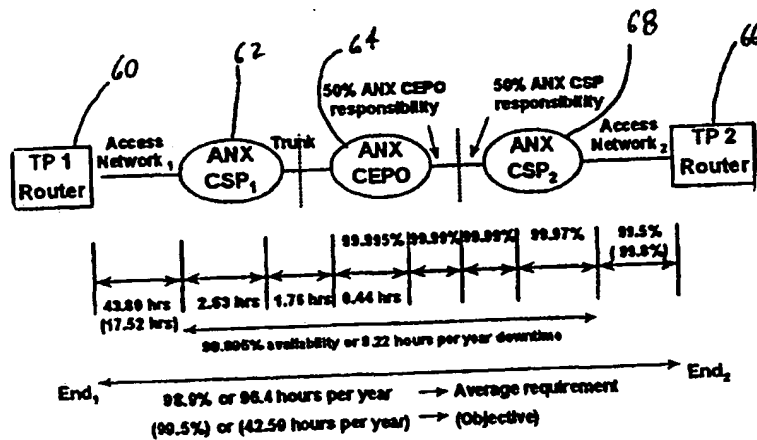


Fig. 7

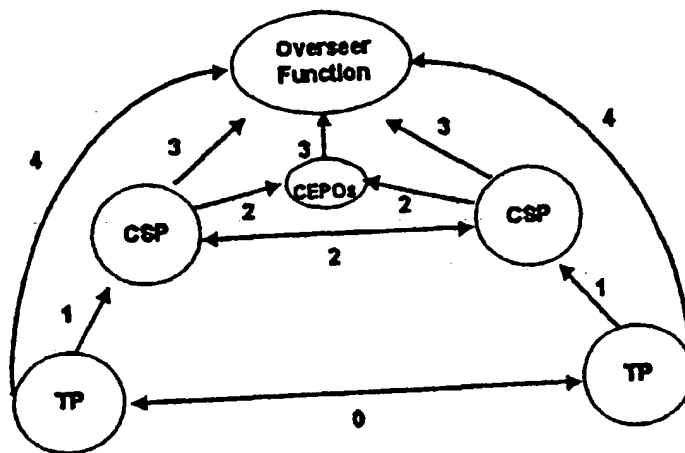


Fig. 8

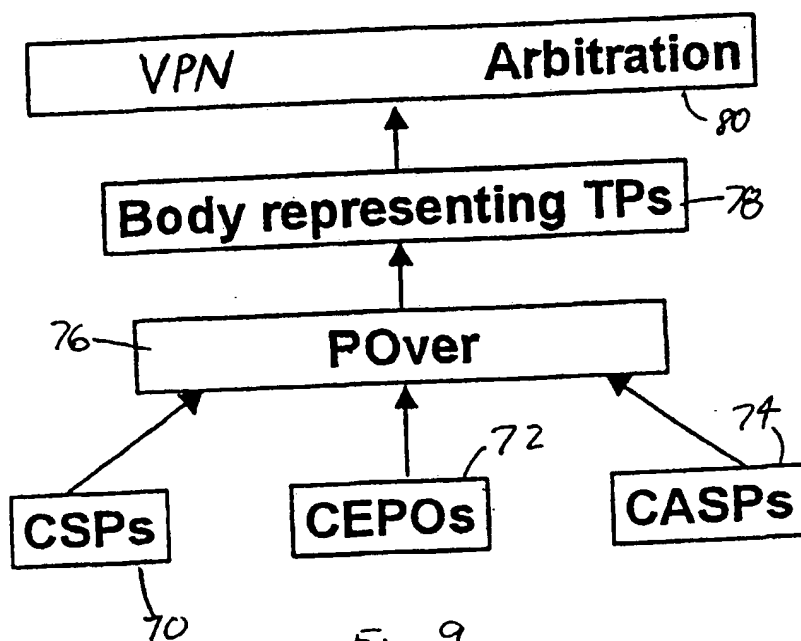


Fig. 9

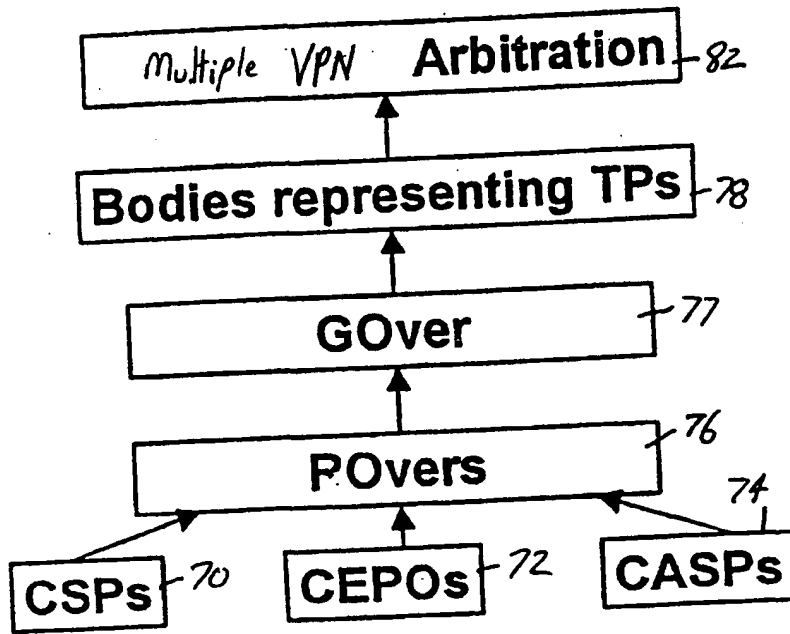


Fig. 10

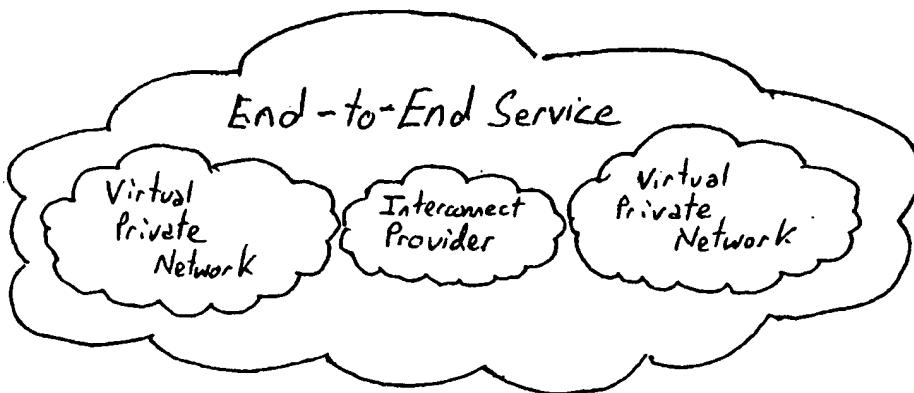


Fig. 11

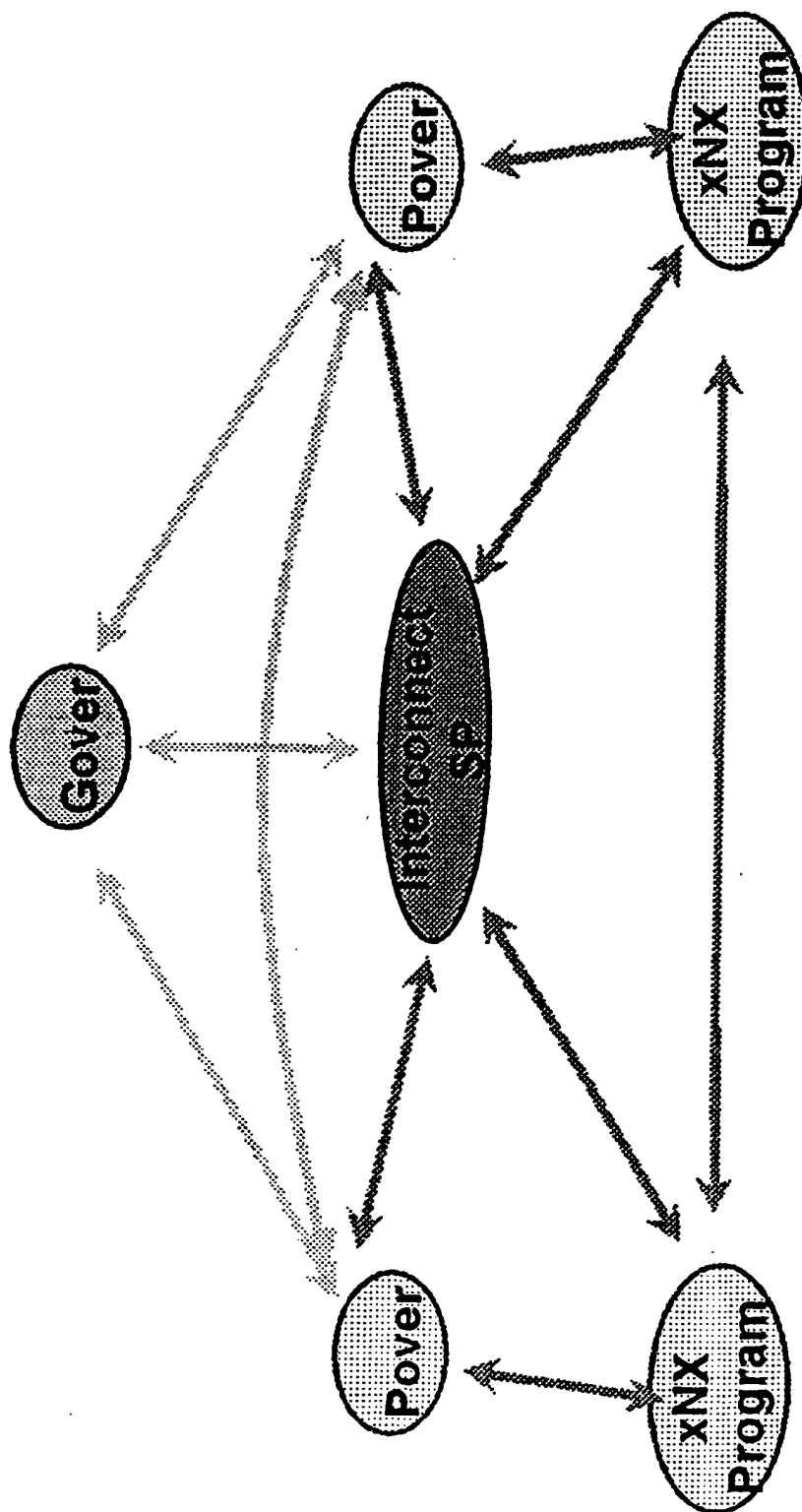


Fig. 12

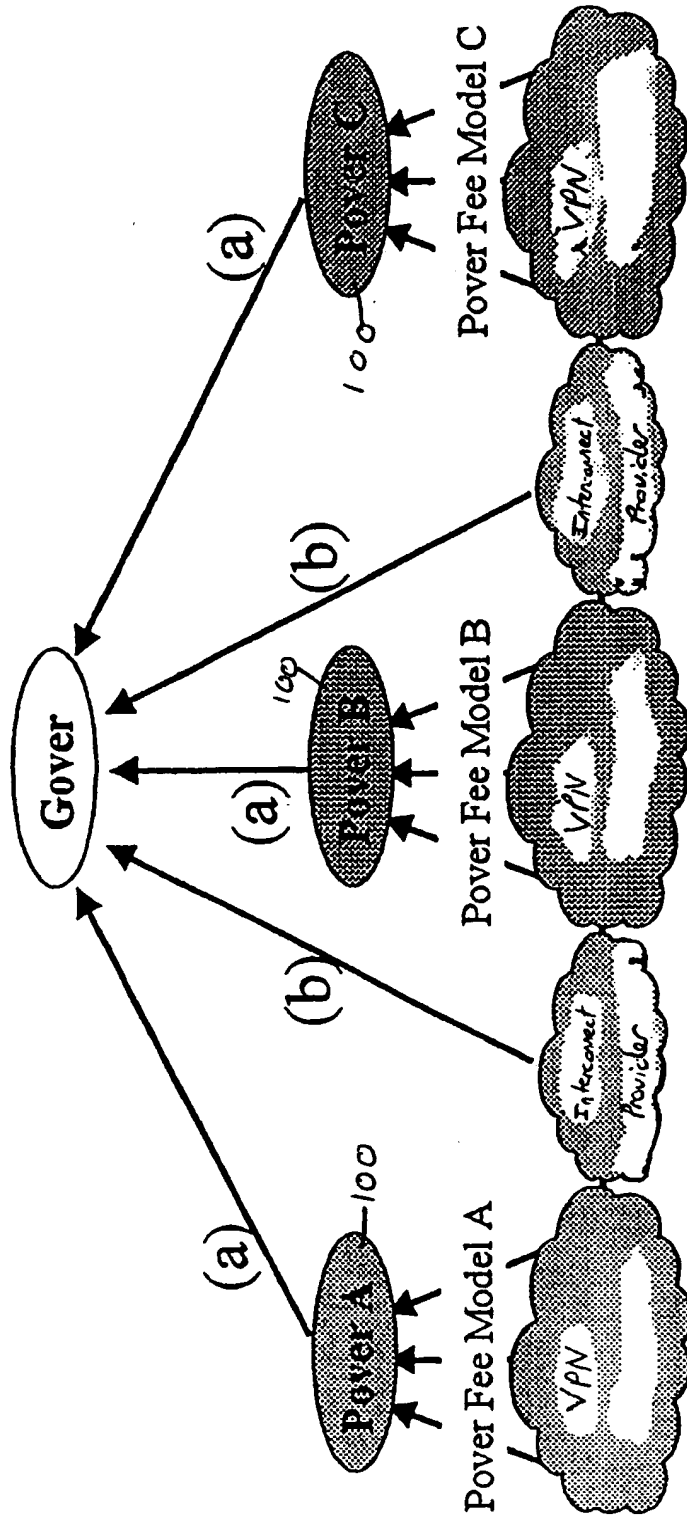


Fig. 13

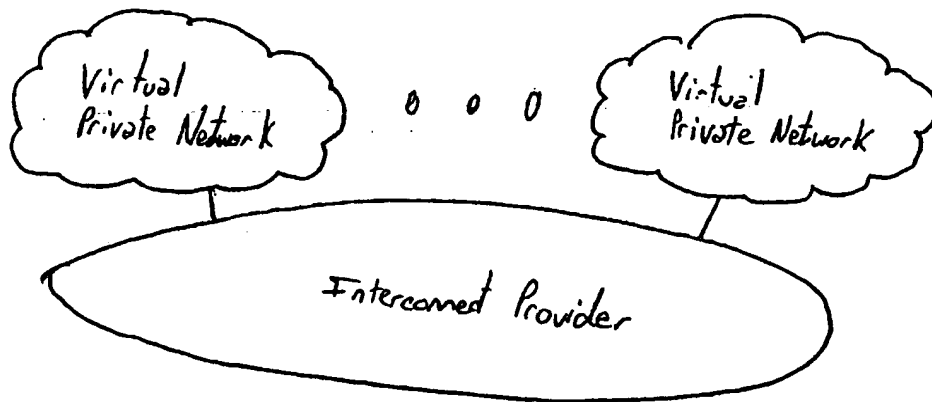


Fig. 14

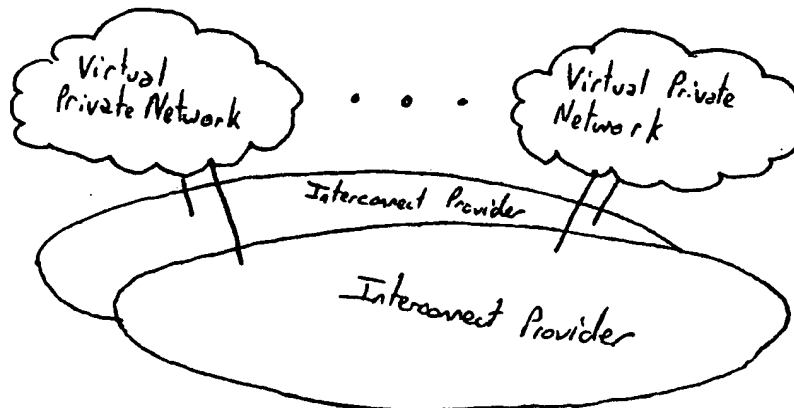


Fig. 15

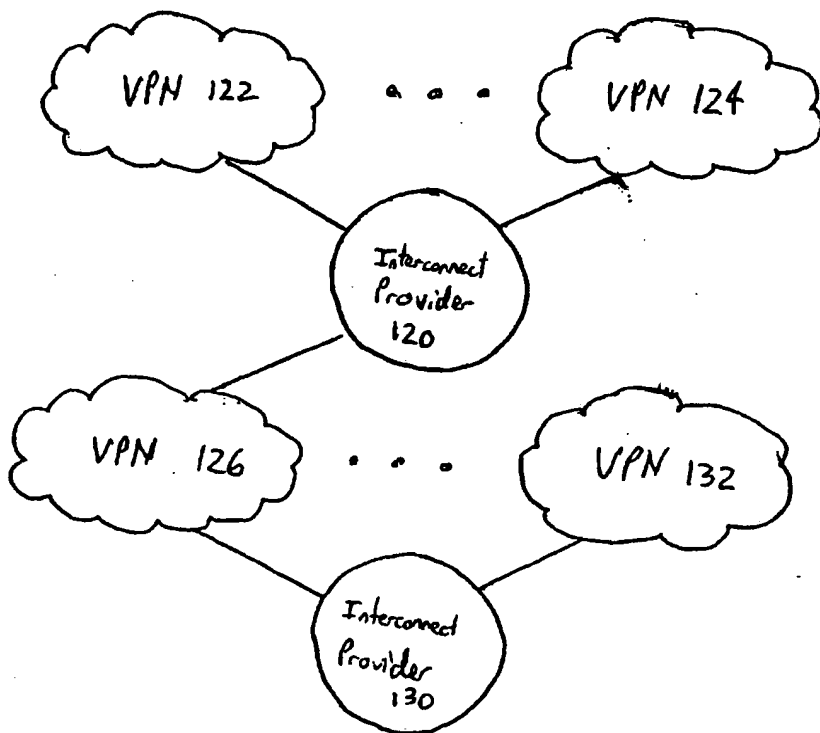
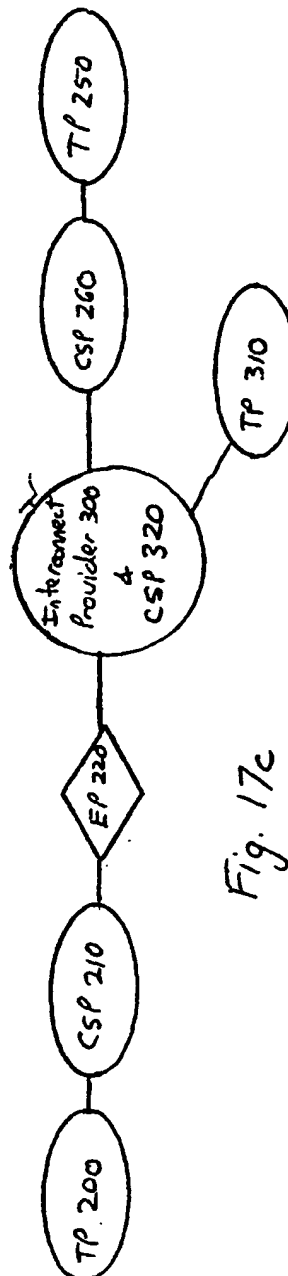
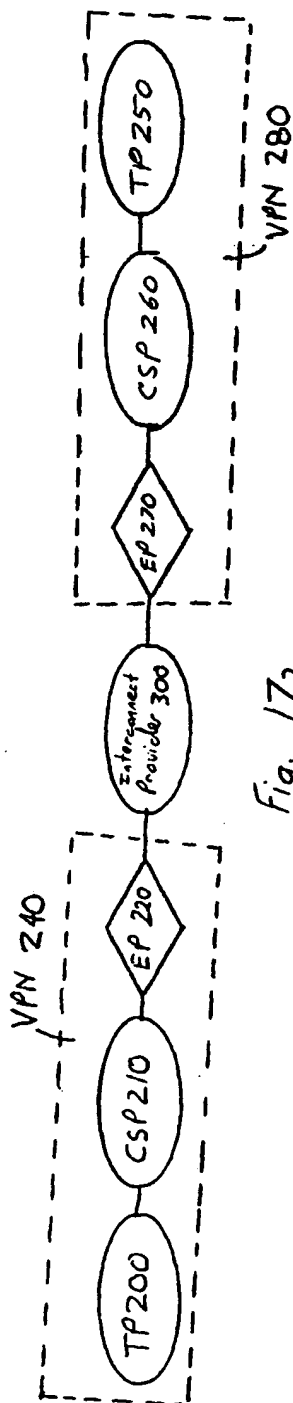


Fig. 16



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/23774

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : G06F 13/00

US CL : 709/201, 220, 221, 223, 227, 228, 236, 238

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 709/201, 220, 221, 223, 227, 228, 236, 238

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS ONLINE

service(lw)providers, private(lw)(networks or lans), interconnection(lw)provider

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, P	US 6,104,701 A (AVARGUES et al) 15 August 2000, Figs 1-3, col 1, lines 60-67, col 2, lines 1-6, lines 22-67, col 3, lines 1-6, col 6, lines 20-67, col 7, lines 1-26.	1-26

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

10 OCTOBER 2000

Date of mailing of the international search report

26 OCT 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

MOUSTAFA M. MEKY

Telephone No. (703) 305-9697

CORRECTED VERSION

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
8 March 2001 (08.03.2001)

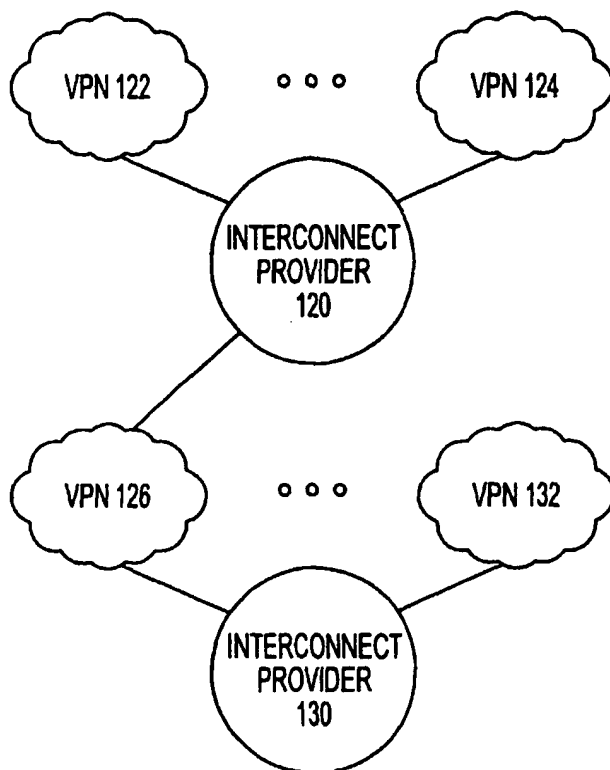
PCT

(10) International Publication Number  
WO 01/016766 A1

- (51) International Patent Classification<sup>7</sup>: G06F 13/00 (74) Agents: ROBINSON, Douglas, W. et al.; Banner & Witcoff, Ltd., Eleventh Floor, 1001 G Street, N.W., Washington, D.C 20001-4597 (US).
- (21) International Application Number: PCT/US00/23774
- (22) International Filing Date: 31 August 2000 (31.08.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
60/151,563 31 August 1999 (31.08.1999) US
- (71) Applicant: SCIENCE APPLICATIONS INTERNATIONAL CORPORATION [US/US]; 10260 Campus Point Drive, San Diego, CA 92121 (US).
- (81) Designated States (*national*): AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (72) Inventors: WHITTLE, Bryan; 73 Zion-Wertsville Road, Skillman, NJ 08558 (US). TESINK, Kaj; 140 Park Road, Fair Haven, NJ 07704 (US).

[Continued on next page]

(54) Title: SYSTEM AND METHOD FOR INTERCONNECTING MULTIPLE VIRTUAL PRIVATE NETWORKS



(57) Abstract: A system and method for interconnecting multiple VPNs (122, 124, 126, 132), each using multiple service providers (120, 130), while offering a minimum standard of end-to-end connection quality and reliability. The system and method utilizes an overseer that resolves end-to-end issues across multiple interconnected virtual private networks (122, 124, 126, 132). When connecting multiple virtual private networks (122, 124, 126, 132) multiple interconnect providers (120, 130) are interconnected so that the end-to-end service quality standard. The certification of service providers, exchange points, transit service providers and IPSec devices permits interoperability for encryption, integrity and authentication across the product of all IPSec vendors. When two subscribers both use certified IPSec equipment then they can provide each other with controlled access to each other's networks.

WO 01/016766 A1



**Published:**

— with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

**(48) Date of publication of this corrected version:**

12 September 2002

**(15) Information about Correction:**

see PCT Gazette No. 37/2002 of 12 September 2002, Section II

## SYSTEM AND METHOD FOR INTERCONNECTING MULTIPLE VIRTUAL PRIVATE NETWORKS

5           This application claims priority to the following provisional patent applications, which are incorporated herein by reference in their entireties:

(1) Provisional Application Serial No. 60/151,563, titled "Method & Apparatus For a Globalized Automotive Network & Exchange," filed on August 31, 1999, and having reference no. 99,532 (479.83581).

### 10                               BACKGROUND OF THE INVENTION

#### Field of the Invention

The present invention relates to virtual private networks. More particularly, the present invention relates to virtual private networks wherein in each virtual private network, multiple service providers can be utilized by the trading partners of the  
15   virtual private network. The end-to-end service quality of the connection within the virtual private network is guaranteed to meet minimum requirements. The end-to-end service quality encompasses numerous factors including: network services; interoperability; performance; reliability; disaster recovery and business continuity; security; customer care; and trouble handling. The system and method of the present  
20   invention is directed to the interconnection of multiple virtual private networks each having multiple service providers. Furthermore the present invention encompasses a system and method for interconnecting multiple interconnect providers, such as exchange points, exchange networks, direct connect or transit service providers, between the multiple virtual private networks. Finally, the present invention employs  
25   an end-to-end overseer across the multiple virtual private networks.

#### Description of the Related Art

Early in 1994, the automotive industry recognized the need for global network services that would support more new demanding automotive business applications. The purpose of this network service was to simplify complex, redundant, outdated  
30   connection methods while minimizing costs and ensuring the management, security, reliability, and performance essential to the automotive industry. Transport Control Protocol/Internet Protocol (TCP/IP) was endorsed as the standard suite for electronic data communications.

Ultimately in 1995, the industry formed a Telecommunications Project Team to oversee the design and development of a common global communication infrastructure supporting automotive industry application initiatives (later called the Automotive Network eXchange (ANX) Implementation Task Force). The Task Force, in June 1997, published the initial results of the technical design process for this new network service, called the Automotive Network eXchange (ANX), in "ANX Release 1 Draft Document Publication" (TEL-2 01.00). This reference is incorporated herein by reference in its entirety. The TEL-2 specification undergoes constant updating and correction.

10 The ANX system is a business-to-business communications infrastructure that provides a uniform, secured link between trading partners, such as manufacturers and suppliers, in the automotive industry. The ANX is a subscription-based network composed of Certified Service Providers (CSP). CSPs are providers of IP network service that have satisfied certain service end-to-end quality. CASPs are certificate authority service providers. The Certified Exchange Point Operator (CEPO) provides services to interconnect CSPs. CEPOs also must satisfy certain end-to-end service quality requirements.

Trading Partners (TP) are registered end users, or subscribers, of the ANX system such as automotive parts manufacturers, suppliers, original equipment manufacturers, and car manufacturers. The ANX system allows TPs to communicate, exchange information, and transact business with other TPs over the ANX network. The TP may utilize any TCP/IP-compliant application program to exchange information with other TPs. The registered TP selects the TPs with which it wants to communicate and thereafter may gain access to and receive communications from those selected TPs. As a result, the ANX system allows each TP to develop its own virtual private network with its customers and vendors.

The ANX system significantly reduces the complexity of connecting to multiple trading partners. Since there are diverse communication protocols for the trading partners, separate links are required to access each trading partner.

30 By having a single private network operated under a uniform protocol, interconnectivity between various trading partners is substantially simplified. In addition, ANX offers improved end-to-end service quality. For example, if an auto manufacturer needs to place with its parts supplier an order for car seats, the

manufacturer may submit over the ANX system its confidential CAD drawings directly to the supplier. The manufacturer may also fill out the order form that the supplier may have for filling orders and timely submit over the ANX system due to its high reliability and performance.

- 5           The CSP and the CEPO must satisfy certain performance and security requirements in order to be certified under the ANX. The certification process is disclosed in ANX Release 1 Document Publication (TEL-2 02.00), which is incorporated herein by reference in its entirety.

- 10           The ANX VPN permits the use of a plurality of different IPsec devices. By virtue of the TEL-2 specification and the certification process all of the designated IPsec device are guaranteed to communicate with one another across the ANX VPN.

- 15           While the ANX was originated out of the need to interconnect automotive related companies, it is not limited to that industry. Any company/industry may become a TP, e.g. an aerospace company, a healthcare company, etc. ANX has become known as the Advanced Network eXchange.

- 20           With the advent of the Internet, global communication has become a reality. While the Internet works well for non-mission critical applications, such as transmitting and receiving e-mail and hosting websites, it has some drawbacks for business-to-business commerce and communication that require stringent end-to-end service quality. Quality concerns are in the area of end-to-end service quality as explained previously.

- 25           For example, when two companies want to communicate over the Internet, the lag between the systems at each company will be different virtually every time. The connection each has through their service provider, i.e. 14.4K, 28.8K, 56K, ISDN, DSL, T1, etc., plus the number of servers through which the connection is directed contribute to the resulting time lag between the two companies. Depending upon the type of information transmitted, the two parties may require a maximum acceptable time lag. Due to the nature of the Internet, it cannot guarantee such a maximum time lag. Furthermore, the two companies may desire that service assistance be available  
30           at certain times or 24 hours a day. The Internet has no such guarantees for help availability in a multi-provider environment. Such a lack of guaranteed bandwidth, latency and reliability are major impediments to business-to-business commerce and communication over the Internet.

In recent years the number of electronic viruses and hacker attacks has increased dramatically. A company considering conducting business-to-business commerce over the Internet runs the risk of making their intranet vulnerable to such viruses and attacks with the potential related loss of data.

5 In order to address the security issue, some companies have developed virtual private networks (VPNs). Secure VPNs permit a company to communicate with any other entity on the network without the risk of increased vulnerability to viruses and hackers. However, while VPNs can connect to other VPNs over the Internet by providing authentication, access control, confidentiality and data integrity, there is  
10 still no way the end-to-end quality of the connection can be guaranteed to meet a required set of minimum standards in a multi-provider setting.

A secure VPN is a communication network that is secured with encryption and authentication. Secure VPNs are based on multiple technologies, for example IPsec, tunneling, certification and shared secret authentication. IPsec is the security  
15 standard established by the Internet Engineering task Force (IETF). Tunneling permits private networks to cross the Internet using unregistered IP addresses.

#### SUMMARY OF THE INVENTION

From the foregoing, it is desirable to provide a system and method for interconnecting multiple VPNs each using multiple service providers while offering a  
20 minimum standard of end-to-end service quality.

The system and method of the present invention utilizes an overseer that defines the service quality, continually qualifies service providers as meeting that service quality, and resolves end-to-end issues across multiple interconnected virtual private networks, such as the ANX. When connecting multiple virtual private  
25 networks according to the system and method of the present invention multiple interconnect providers are interconnected, and the manner in which these interconnect providers are interconnected so that the quality and reliability standards is met are another aspect of the present invention.

Certification of IPsec devices permits interoperability for encryption, integrity  
30 and authentication across the product of all IPsec vendors. When two subscriber companies both use certified IPsec equipment then they can provide each other with controlled access to each other's networks.

Based on the foregoing, an object of the present invention is to provide a system and method of interconnecting multiple VPNs each using multiple service providers while offering a minimum standard of end-to-end connection quality and reliability.

5 Another object of the present invention is to provide a system and method of interconnecting multiple VPNs having an overseer that resolves end-to-end issues across multiple virtual private networks.

Still another object of the present invention is to provide a system and method of connecting multiple virtual private networks in which multiple interconnect  
10 providers are interconnected so that the end-to-end service quality is met.

### **DETAILED DESCRIPTION OF THE DRAWINGS**

The foregoing and other attributes of the present invention will be described with respect to the following drawings in which:

15 Fig. 1 is a block diagram of two interconnected virtual private networks according to the present invention;

Fig. 2 is a configuration of governance and management of separate virtual private networks;

20

Fig. 3 is a configuration of governance and management of interconnected virtual private networks according to the present invention;

Fig. 4 is an interconnection configuration for governance of multiple inter-  
25 connected virtual private networks according to the present invention;

Fig. 5 is a flow chart showing contractual obligations according to the present invention;

30 Fig. 6 is a diagram illustrating end-to-end latency in a virtual private network having multiple service providers;

**Fig. 7** is a diagram illustrating end-to-end availability in a virtual private network having multiple service providers;

**Fig. 8** is a diagram illustrating trouble handling in a virtual private network  
5 having multiple service providers;

**Fig. 9** is a diagram illustrating an accountability model for a single virtual private network having multiple service providers;

10 **Fig. 10** is a diagram illustrating an accountability model for multiple virtual private networks having multiple service providers according to the present invention;

**Fig. 11** is a diagram illustrating end-to-end interconnection of two virtual private networks according to the present invention;

15

**Fig. 12** is a diagram illustrating a trouble escalation model for interconnection of two virtual private networks according to the present invention;

**Fig. 13** is a diagram illustrating a multiple virtual private network fee model  
20 for interconnection of two virtual private networks according to the present invention;  
is a diagram illustrating interconnection of two virtual private networks using a multiple transit certified service providers according to the present invention;

**Fig. 14** is a diagram illustrating interconnection of two virtual private  
25 networks using a single transit certified service provider according to the present invention;

**Fig. 15** is a diagram illustrating interconnection of two virtual private  
networks using a multiple transit certified service providers according to the present  
30 invention;

**Figs. 16** is a diagram illustrating interconnection of multiple virtual private networks using a multiple transit certified service providers, where no single transit

certified service provider connects all of the virtual private networks according to the present invention; and

5 Figs. 17a - c are alternative configurations for interconnecting multiple virtual private networks according to the present invention.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

Fig. 1 shows a block diagram of two interconnected virtual private networks 20 and 22. The present system and method of the interconnecting multiple virtual private networks is not intended to be limited to only these types of networks and has applicability to a wide variety of virtual private networks.

Each virtual private network 20 and 22 is shown having a trading partner (TP) 24 and 26, respectively. While Fig. 1 shows only one TP 24 and 26 for each virtual private network, there can in fact be hundred or thousands of such TPs for each virtual private network. Fig. 1 is intended to define the end-to-end service quality concept, and for such a purpose, only one TP 24 and 26 is need for each virtual private network 20 and 22.

The end-to-end service quality, provided by the present system and method of interconnecting multiple virtual private networks, cannot be achieved by simply interconnecting two virtual private networks, such as 20 and 22, with a wire. The end-to-end service quality incorporates a user-centric philosophy, where the user is the TP or subscriber. The user is guaranteed a minimum level of service encompassing factors that include: network services; interoperability; performance; reliability; disaster recovery and business continuity; security; customer care; and trouble handling. Simply connecting the two virtual private networks 20 and 22 with a wire will not achieve the minimum satisfactory levels for these factors.

To achieve such minimum levels of satisfactory performance for these factors the system and method must include a way to resolve disputes between the two virtual private networks. Referring to Fig. 2, each VPN 20 and 22 is shown as having its own governance, program management, coopetition policy, contracts, service assurance, and service description. While each virtual private network can operate with a successful level of end-to-end service quality when each VPN is not interconnected to another VPN, the governance, program management, coopetition policy, contracts, service assurance, and service description may need to be revised when interconnecting two or more VPNs in order to maintain the end-to-end service quality. It will be appreciated that at the very least the interconnection of at least two VPNs adds at least one additional level of complexity with regard to service between the VPNs.

One resolution is shown in Fig. 3, in which each VPN 20 and 22 maintain their own governance, but the program management, coopetition policy, contracts, service assurance, and service description for the two VPNs 20 and 22 are unified. Such unification means that where the parameters for the program management, 5 coopetition policy, contracts, service assurance, and service description of the two VPNs 20 and 22 are different, the parameter used in one of the networks is chosen as the acceptable minimum standard or a compromise parameter different from the parameter used in each or the VPNs is agreed upon. It is possible that the parameters for communication within each VPN need not change, while the new parameters are 10 used only when communicating between VPNs. Fig. 3 further shows that the system and method contemplate connecting more than two VPNs.

One configuration for governance of multiple interconnected VPNs is shown in Fig. 4. In this scenario each VPN has its own program overseer (POVER) 30, and a global, or multiple virtual private network, overseer 32 is provided to resolve issues 15 between the POVERs 30. Arrows are shown between the POVERs 30 indicating that the POVERs 30 are free to resolve their issues without requiring the GOWER 32. The GOWER is called on when direct POVER-to-POVER resolution fails. Each of the POVERs 30 governs one of the regional VPNs, while the GOWER 32 oversees the interconnection of the VPNs.

20 The GOWER is responsible for end-to-end quality assurance, and in particular acts as an inter-VPN interconnection certifier. The GOWER certifies interconnection facilities, and certifies a global CASP-CASP trust model. The GOWER also is an inter-VPN arbitrator that steps in when POVERs cannot resolve trouble between them.

25 Since the VPNs are used to running their networks in isolation, the interconnection of multiple VPNs has unique issues such as resolving trouble and conflicts between the VPNs and maintenance of minimum end-to-end service quality across the multiple programs. Since the system and method of the present invention are directed to providing specific end-to-end service quality, it must be possible for 30 TPs to quantify the end-to-end service quality levels, and these service quality levels must be sufficient to allow applications to work across the multiple VPNs. Therefore, a high level of metric compatibility and measurement techniques are required.

In the ANX type VPN each TP, CSP and CEP must meet specified criteria to become certified and to maintain that certification. The certification provides the TPs or subscribers with confidence that the level of transport and security will meet their business needs. The ANX type VPN utilizes multiple CSPs. On one level it is easier to run a VPN where all TPs are required to use a single CSP. The use of multiple CSPs in the ANX type VPN fosters competition between the CSPs and allows the VPN to reach TPs that may not be serviced by a single CSP. The implementation of multiple CSPs, however, brings with it the drawback of insuring that the CSPs can talk to one another. Whether the connection from one TP to another TP within the same VPN is through a single CSP or two CSPs should be invisible to the TPs. The TPs need never know when one or more CSPs are used for any particular connection. The certification process ensures that the TPs use one of the certified IPsec devices at their premises, and that the CSPs will utilize certified equipment and meet certain metrics so as to achieve the end-to-end service quality guaranteed to the TPs. In this manner, the multiple CSPs will be able to communicate with one another. The CSPs must meet business criteria, technical metrics, ongoing monitoring, trouble-handling criteria, routing registry criteria, and domain name registry criteria to achieve and maintain certification.

Fig. 5 shows the contractual obligations of the members of an ANX-type VPN. The TPs contract with the VPN, as denoted in Fig. 5 by the arrows to the overseer 50, and contract with one of the multiple CSPs 42. The CSPs contract with the VPN and with the CEPO 44. The CEPO 44 contracts with the VPN. Each entity is responsible for the services that that entity provides.

The technical metrics for achieving end-to-end service quality in the ANX-type network include among other metrics, latency and availability. Fig. 6 illustrates the end-to-end latency within the ANX network. The TP1 router 60 is connected to ANX CSP<sub>1</sub> 62, which in turn is connected to ANX CEPO 64. TP2 router 66 is connected to ANX CSP<sub>2</sub> 68, which is connected to ANX CEPO 64. The packet latency from each router 60 and 66 through the corresponding CSP is 125 msec. The latency through the ANX CEPO is on the order of microseconds. The total packet latency through the network is therefore only slightly more than 250 msec.

Fig. 7 illustrates the end-to-end availability metric. The Access network between the TP1 router 60 and the ANX CSP<sub>1</sub> 62 is permitted to be unavailable 43.80

hours/year. The ANX CSP<sub>1</sub> 62 may only be unavailable 2.63 hrs./year. The trunk 65 between the ANX CSP<sub>1</sub> 62 and the ANX CEPO may only be unavailable 1.76 hrs./year. The ANX CEPO may only be unavailable 0.44 hours/year. The foregoing availabilities yield a total of 99.895% availability or 9.22 hours per year downtime.

5        The outline for how trouble is handled within the ANX-type VPN is shown in Fig. 8. There are effectively five layers of trouble handling. At the first level trouble between TPs is handled directly between the two TPs. Similarly, issues between the TPs and the CSPs are handled between the two parties. CSPs and the CEPOs also resolve their troubles between the troubled parties. A network overseer is provided to  
10 handle troubles that cannot be handled in the foregoing scenarios. The overseer can take complaints from the TPS, the CSPs, and the CEPOs.

A key to providing predictable end-to-end service quality is that the TPs must know the level of service they receive. To this end four service provider accountability levels exist. First, service providers, both interconnect providers and  
15 CSPs, must timely fix infrequent service provider troubles. Second, there must be end-to-end service provider cooperation to handle any troubles. Third, recourse must be provided to resolve disputes in the event of disagreement between CSPs and/or interconnect providers. Fourth, recourse must be provided to resolve continued non-compliance with the end-to-end service quality.

20        Referring to Figs. 9 and 10, charts for single VPN and interconnected VPNs are shown, respectively. In Fig. 9, the CSPs 70, CEPOs 72 and CASPs 74 are accountable to the POVER 76. The POVER 76 is accountable to the body 78 representing the TPs. The body 78 is accountable a regional/national arbitration body 80. Where multiple VPNs are interconnected in Fig. 10, the CSPs 70, the CEPOs 72, and CASPs 74 are accountable to the POVERs 76. The POVERs 76 are accountable  
25 to a GOVER 77, which in turn is accountable to the body 78. The body 78, instead of being accountable to the regional/national arbitration body 80, is accountable to an international arbitration body 82.

The GOVER/POVER model is but one way to oversee ensuring of the end-to-  
30 end service quality and metric compatibility. How the ANX-type networks are connected will be discussed below. In this context there must be five key types of end-to-end technology compatibility: 1 network interconnection that ensures a trading partner on one VPN can reach any trading partner on the other VPN; 2 routing

compatibility that ensures any trading partner on one VPN can logically reach any TP on the other VPN; 3 naming compatibility, e.g. so the web names or e-mail names of any trading partner on one VPN can be resolved to an address that is routable over the two VPNs; 4 IPsec compatibility; and 5 digital security certificate compatibility across multiple VPNs. While Figs. 9 and 10 refer to regional/national VPNs and international arbitration, the VPNs need not be limited to a specific country or geographical area. Any ANX-type VPN, regardless of the location of its subscribers could be interconnected.

While Fig. 1 illustrated the interconnection of two VPNs 20 and 22, a significant element is missing. Fig. 11 shows two VPNs, that have multiple service providers, which are connected through an inter-program service provider, also called an interconnect provider. The Tel-2 specification is still used as the basic guide in determining the end-to-end service quality, however regional or particular VPN peculiarities, referred to as deltas, must be considered when establishing the interconnected end-to-end service quality standards.

Returning to the GOVER/POVER model for overseeing interconnected VPNs; Fig. 12 illustrates an end-to-end trouble escalation model. It is expected that CSPs will work together to resolve trouble before contacting a POVER. Similarly, the POVERs and/or the POVERs and the interconnect provider are expected to work together to resolve trouble before contacting the GOVER.

When expanding from a single VPN to interconnected VPNs the inherent costs of running the system naturally increase. How such costs are distributed is an important part of the system. As shown in Fig. 13, the POVERs 100 pay fees to the GOVER to offset the costs of maintaining the GOVER. The VPNs having multiple service providers in turn pay fees to support the POVER. Furthermore the interconnect providers pay a certification fee to the GOVER for certification as a interconnect provider between VPNs.

There are multiple methods of interconnecting multiple VPNs with interconnect providers. As shown in Fig. 14, all the VPNs, having multiple service providers, can be interconnected using a single interconnect provider. Alternatively, all the VPNs can be interconnected by multiple interconnect providers, as shown in Fig. 15, thereby creating competition between the interconnect providers, just as there is competition between the CSPs in a single xNX-type VPN. Finally, as shown in

Fig. 16, where no suitable interconnect provider is available to connect all the VPNs having multiple service providers, multiple interconnect providers are used. These interconnect providers service different combinations of VPNs. In Fig. 16, interconnect provider 120 interconnects VPNs having multiple service providers 122, 124, and 126. Interconnect provider 130 interconnects VPNs having multiple service providers 132 and 126. As a result, a TP of VPN 132 must connect through both Interconnect provider 130 and Interconnect provider 120 to reach TPs of either VPN 122 or 124.

How the multiple VPNs interconnect will directly affect the resulting end-to-end service quality. Figs. 17a-c illustrate potential configurations of multiple VPNs. In Fig. 17a a first TP 200 connects to a first CSP 210. The CSP 210 connects to a first exchange point 220. The TP 200, CSP 210, and the exchange point 220 are within a first VPN 240. A second TP 250 connects to a second CSP 260, which connects to a second exchange point 270. The TP 250, CSP 260 and exchange point 270 are within a second VPN 280. The two VPNs 240 and 280 are interconnected by an Interconnect provider 300, which is connected to the exchange points 220 and 270.

In Fig. 17b TP 200, CSP 210, exchange point 220 and Interconnect provider 300 are connected in the same manner shown in Fig. 17a. While the second TP 250 is connected to the CSP 260, the exchange point 270 is not provided. Instead CSP 260 is shown as connecting directly to the Interconnect provider 300. This embodiment encompasses the situation where the Interconnect provider 300 and CSP 260 are the same entity or are directly wired. Fig. 17c is similar to Fig. 16b, Except that the interconnect provider also acts as a CSP 320, and a third TP 310 is connected directly to the Interconnect provider 300/CSP 320.

As stated previously, while the end-to-end service quality is based upon the TEL-2 specification, the degree to which the TEL-2 specification needs to be modified to interconnect multiple VPNs depends upon the chosen complexity of the interconnection. An xNX-type VPN uses a maximum of two CSPs between any two TPS. A larger value, either three or four, is needed for multiple VPNs. The Interconnect provider will account for one additional CSP, and for configuration set forth in Fig. 16, two Interconnect providers are employed in addition to the two CSPs yielding four CSPs.

Having described several embodiments of the system and method for interconnecting multiple virtual private networks in accordance with the present invention, it is believed that other modifications, variations and changes will be suggested to those skilled in the art in view of the description set forth above. It is  
5 therefore to be understood that all such variations, modifications and changes are believed to fall within the scope of the present invention as defined in the appended claims.

What is claimed is:

1. A system of interconnecting multiple virtual private networks, each of said multiple private networks having multiple service providers, comprising:
  - 5 at least one interconnect provider for connecting said multiple virtual private networks,  
said multiple virtual private networks connected through said at least one interconnect provider having minimum standards for cross network services, virtual private network interoperability, inter-network performance, inter-network reliability,  
10 disaster recovery and business continuity, inter-network security, inter-network customer care, and inter-network trouble handling.
2. A system as recited in claim 1, further comprising a maximum acceptable latency between subscribers to different ones of said multiple virtual private networks.  
15
3. A system as recited in claim 1, further comprising a maximum acceptable number of service providers between subscribers to different ones of said multiple virtual private networks.
- 20 4. A system as recited in claim 1, further comprising a minimum acceptable period of unavailability of interconnected multiple virtual private networks.
5. A system as recited in claim 1, wherein each of said multiple virtual private networks comprises a program overseer to ensure end-to-end service quality across  
25 each of said multiple virtual private networks.
6. A system as recited in claim 5, further comprising a global overseer to ensure end-to-end service quality across multiple ones of said multiple virtual private networks.  
30
7. A system as recited in claim 6, wherein said global overseer resolves disputes between ones of said program overseers for said multiple virtual private networks or said program overseers and said at least one interconnect provider.

8. A system as recited in claim 5, wherein said program overseer for each one of said multiple virtual private networks resolves disputes between service providers within said one of said multiple virtual private networks.

5

9. A system as recited in claim 6, wherein each of said program overseers and said multiple interconnect providers provides financial support to run said global overseer.

10

10. A system as recited in claim 1, wherein management of said multiple virtual private networks, contracts by between service providers and interconnect providers, service assurance, service description and how service providers and interconnect providers collaborate and compete are unified across said multiple virtual private networks to ensure end-to-end service quality.

15

11. A system as recited in claim 1, comprising multiple interconnect providers, wherein no one interconnect provider services all of said multiple virtual private networks.

20

12. A method of interconnecting multiple interconnection providers between multiple virtual private networks, each of said virtual private networks having multiple subscribers, multiple service providers and at least one exchange point interconnecting said multiple service providers, with guaranteed end-to-end service quality, comprising the steps of:

25

providing at least one interconnect provider disposed between a first set of said multiple service providers in one of said multiple virtual private networks and a second set of multiple service providers in a second one of said multiple virtual private networks.

30

13. A method of interconnecting multiple interconnection providers between multiple virtual private networks as recited in claim 12, wherein one of said at least one transit service providers is also one of said multiple service providers within at least one of said multiple virtual private networks.

14. A method of interconnecting multiple interconnection providers between multiple virtual private networks as recited in claim 12, further comprising the step of certifying all of said multiple service providers in all of said multiple virtual private  
5 networks, said multiple transit service providers, and said exchange points to ensure minimum end-to-end quality and security levels are maintained.

15. A method of interconnecting multiple interconnection providers between multiple virtual private networks as recited in claim 12, comprising the further step of  
10 providing at least one exchange point between a first set of said multiple service providers in one of said multiple virtual private networks and said at least one interconnect service provider.

16. A method of interconnecting multiple interconnection providers between  
15 multiple virtual private networks as recited in claim 12, wherein a maximum number of service providers between two subscribers within one of said multiple virtual private networks is two, and the maximum number of said service providers and transit service providers between subscribers of different ones of said multiple virtual private networks is three.

20

17. A method of interconnecting multiple interconnection providers between multiple virtual private networks as recited in claim 15, further comprising the step of providing at least one second exchange point between a second set of said multiple service providers in another one of said multiple virtual private networks and said at  
25 least one transit service provider.

18. A system of interconnecting multiple virtual private networks, each of said multiple private networks having multiple service providers, comprising:  
at least one interconnect provider for connecting said multiple virtual private  
30 networks,  
each of said multiple virtual private networks comprising a program overseer to ensure end-to-end service quality across each of said multiple virtual private networks, and

a global overseer to ensure end-to-end service quality across multiple ones of said multiple virtual private networks,

said multiple virtual private networks connected through said at least one interconnect provider have: minimum standards for cross network services; virtual private network interoperability; inter-network performance; inter-network reliability; disaster recovery and business continuity; inter-network security; inter-network customer care; and inter-network trouble handling.

19. A system as recited in claim 18, further comprising a maximum acceptable latency between subscribers to different ones of said multiple virtual private networks.

20. A system as recited in claim 18, further comprising a maximum acceptable number of service providers between subscribers to different ones of said multiple virtual private networks.

21. A system as recited in claim 18, further comprising a minimum acceptable period of unavailability of interconnected multiple virtual private networks.

22. A system as recited in claim 18, wherein said global overseer resolves disputes between ones of said program overseers for said multiple virtual private networks or said program overseers and said at least one interconnect provider.

23. A system as recited in claim 18, wherein said program overseer for each one of said multiple virtual private networks resolves disputes between service providers within said one of said multiple virtual private networks.

24. A system as recited in claim 18, wherein each of said program overseers and said multiple interconnect providers provides financial support to run said global overseer.

25. A system as recited in claim 18, wherein management of said multiple virtual private networks, contracts by between service providers and interconnect

providers, service assurance, service description and how service providers and interconnect providers collaborate and compete are unified across said multiple virtual private networks to ensure end-to-end service quality.

- 5           26. A system as recited in claim 18, comprising multiple interconnect providers, wherein no one interconnect provider services all of said multiple virtual private networks.

1/10

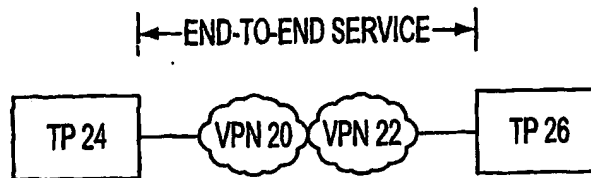


FIG. 1

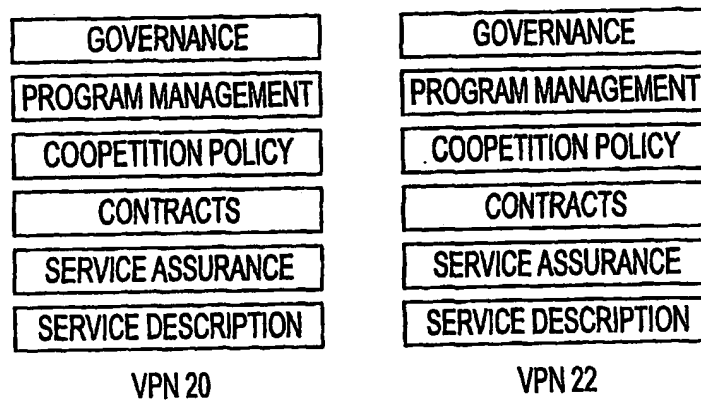


FIG. 2

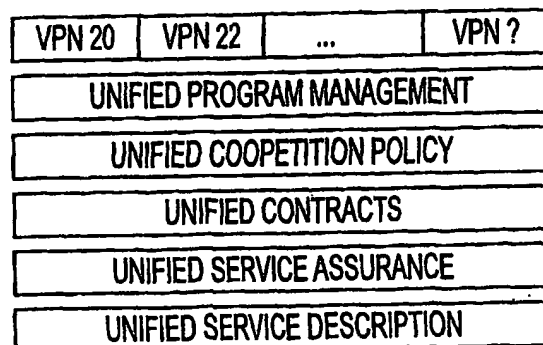


FIG. 3

SUBSTITUTE SHEET (RULE 26)

2/10

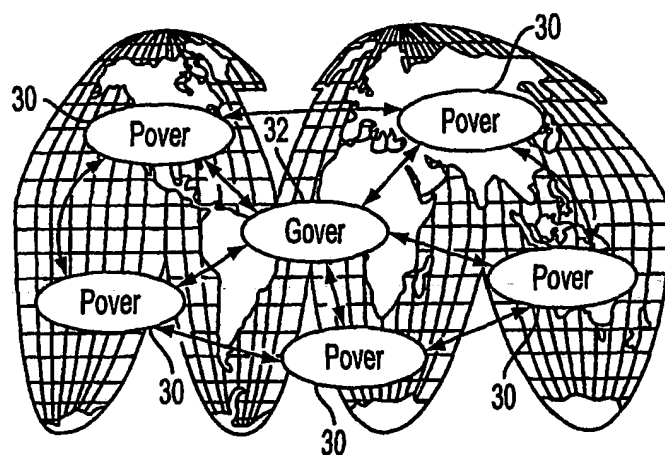


FIG. 4

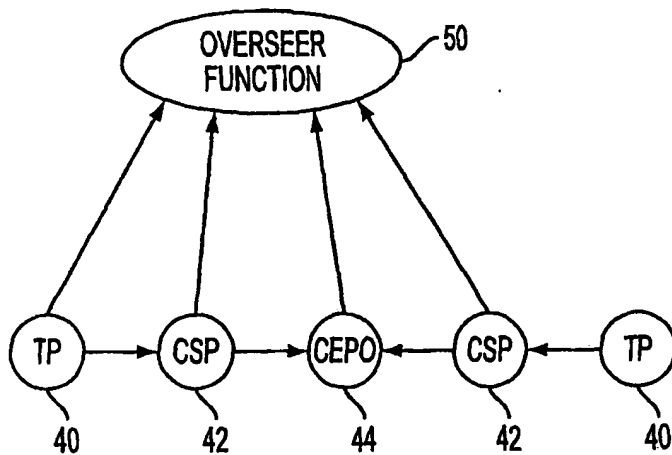


FIG. 5

3/10

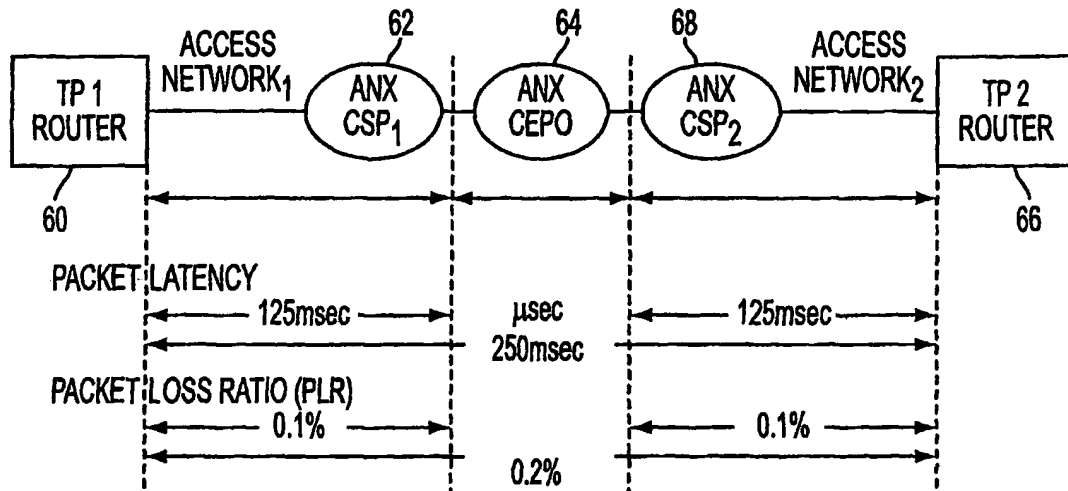


FIG. 6

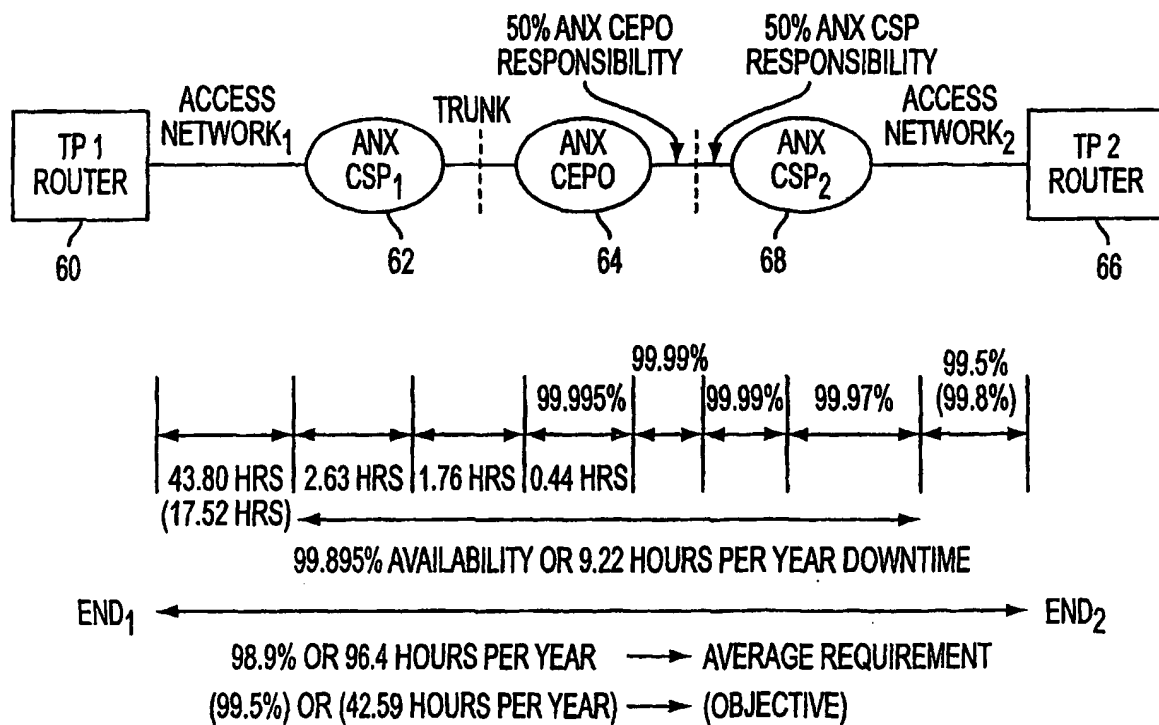


FIG. 7

SUBSTITUTE SHEET (RULE 26)

4/10

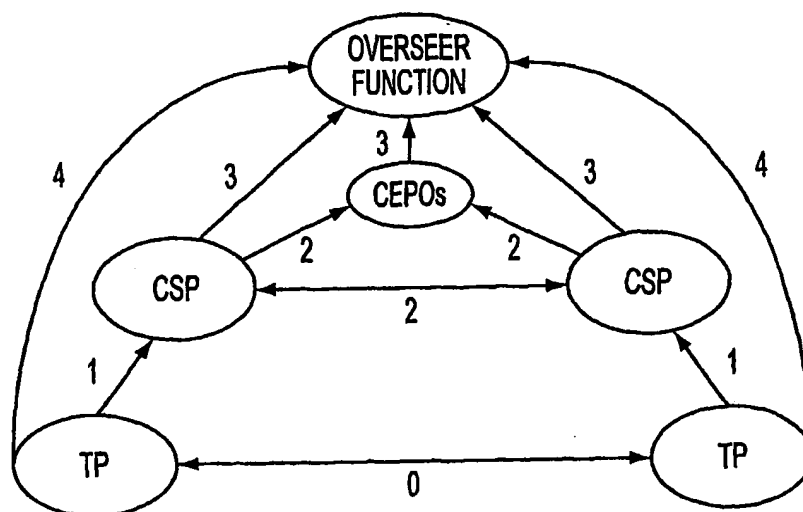


FIG. 8

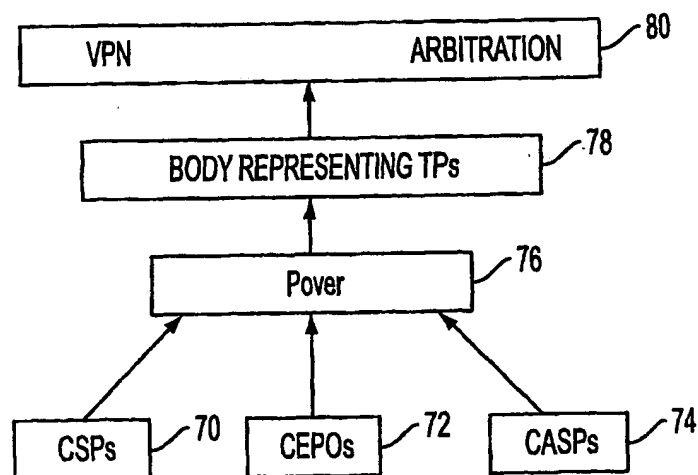


FIG. 9

5/10

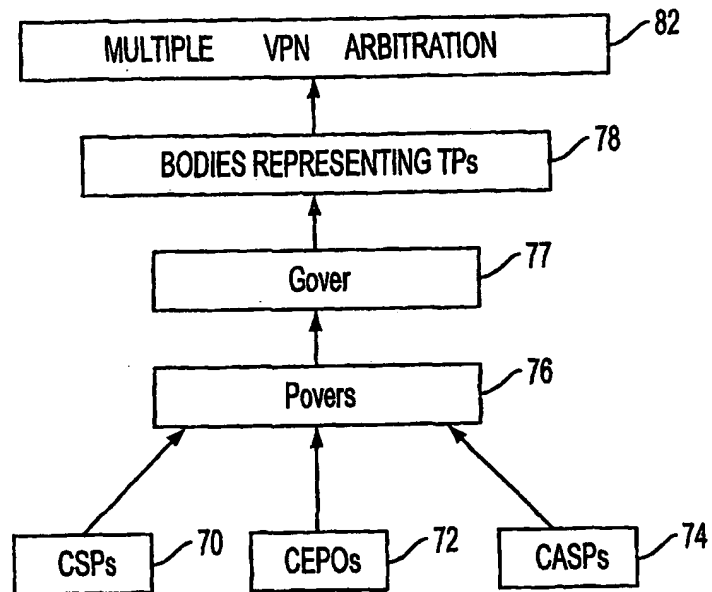


FIG. 10

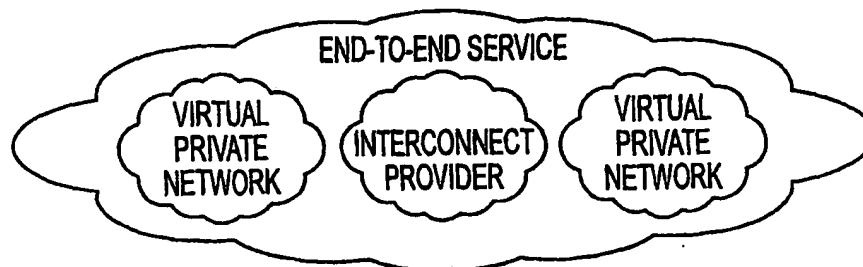


FIG. 11

6/10

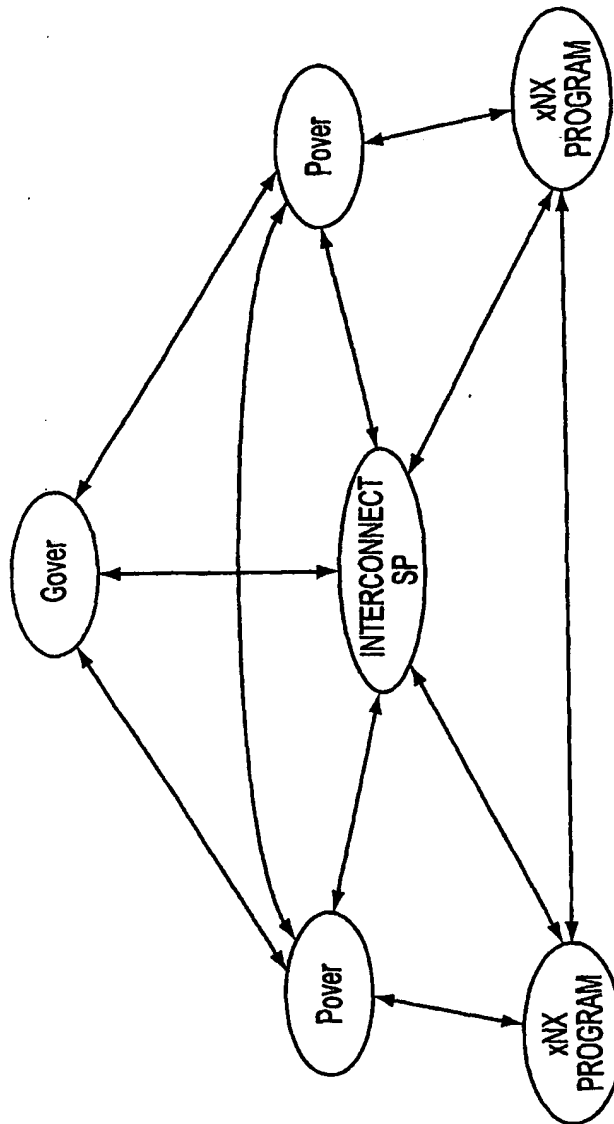


FIG. 12

7/10

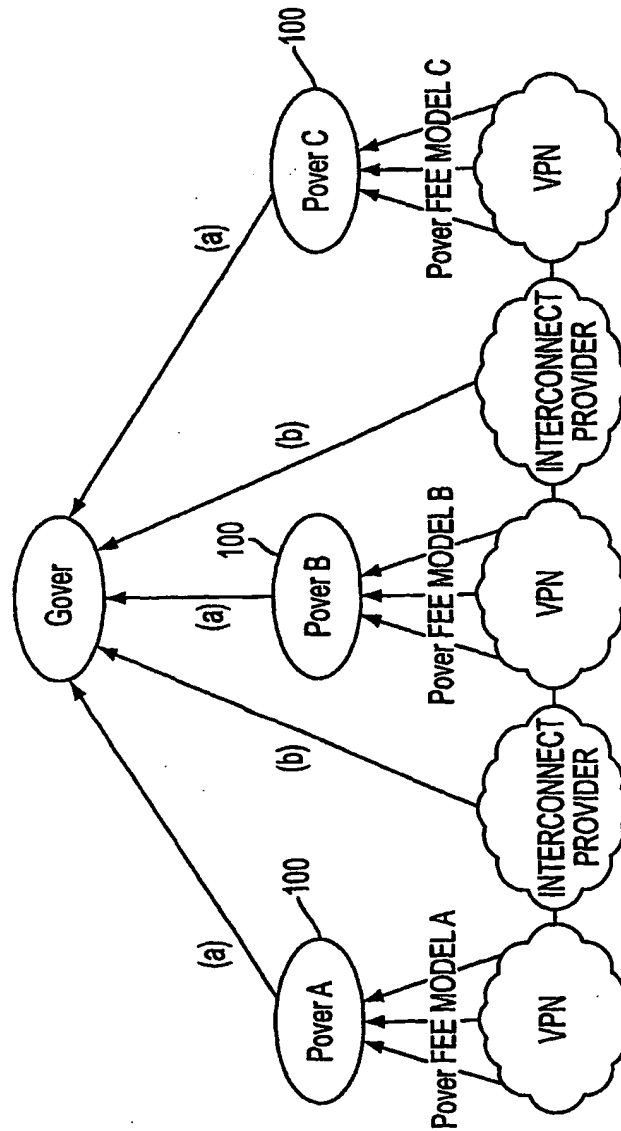


FIG. 13

8/10

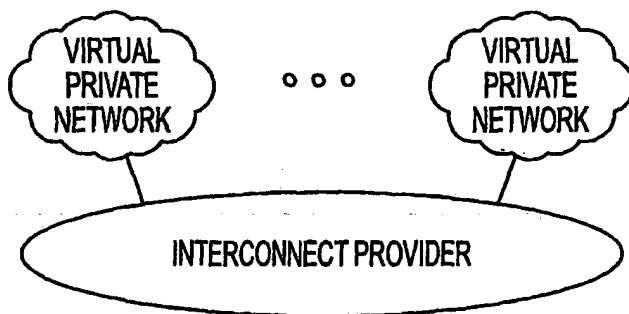


FIG. 14

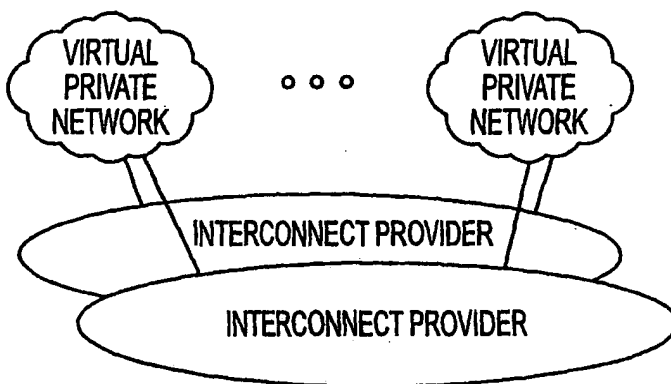


FIG. 15

9/10

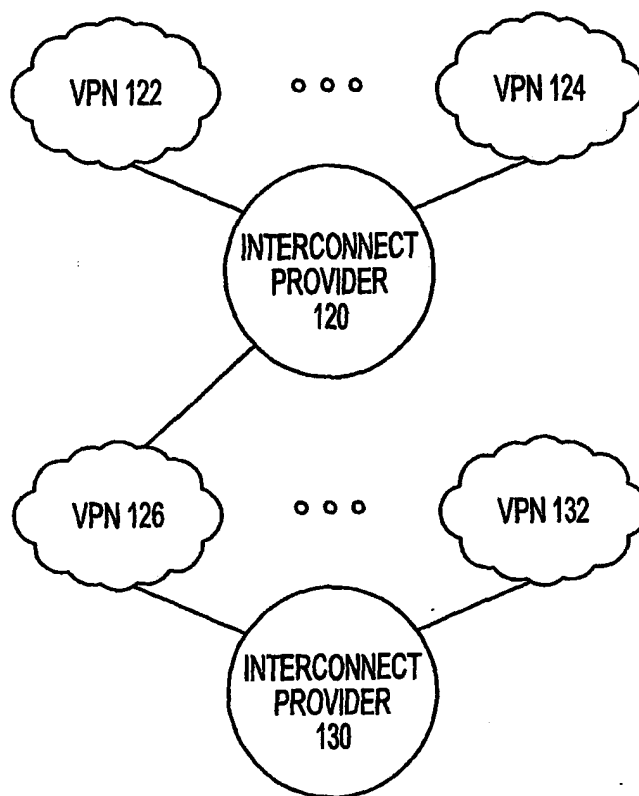


FIG. 16

10/10

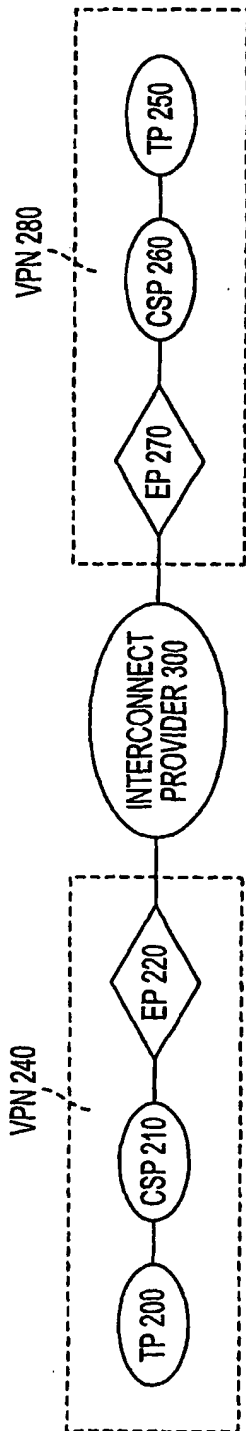


FIG. 17A

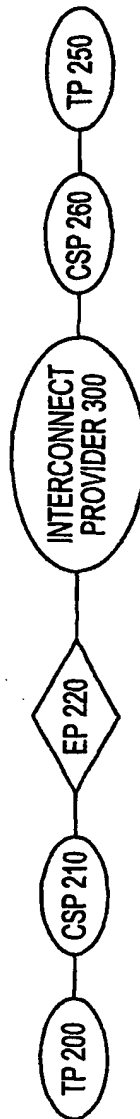


FIG. 17B

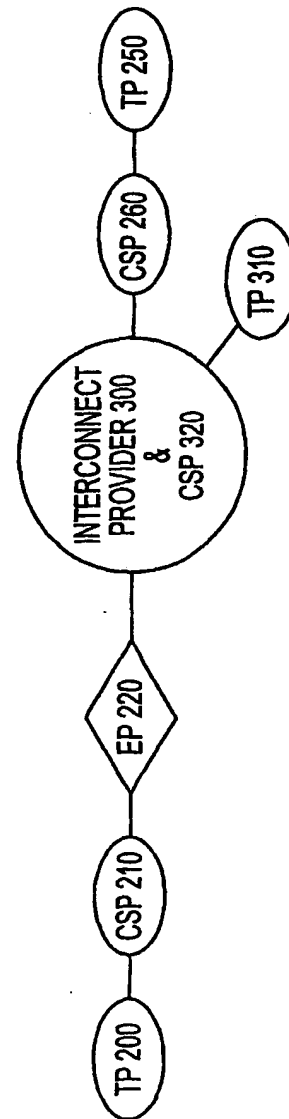


FIG. 17C

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/23774

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :G06F 13/00

US CL : 709/201, 220, 221, 223, 227, 228, 236, 238

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 709/201, 220, 221, 223, 227, 228, 236, 238

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS ONLINE

service(1w)providers, private(1w)(networks or lans), interconnection(1w)provider

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, P	US 6,104,701 A (AVARGUES et al) 15 August 2000, Figs 1-3, col 1, lines 60-67, col 2, lines 1-6, lines 22-67, col 3, lines 1-6, col 6, lines 20-67, col 7, lines 1-26.	1-26



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

10 OCTOBER 2000

Date of mailing of the international search report

26 OCT 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

MOUSTAFA M. MEKY

Telephone No. (703) 305-9697

Form PCT/ISA/210 (second sheet) (July 1998)\*

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☒ **BLACK BORDERS**

☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**

☐ **FADED TEXT OR DRAWING**

☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**

☐ **SKEWED/SLANTED IMAGES**

☒ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**

☐ **GRAY SCALE DOCUMENTS**

☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**

☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**

☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**